

SEC Form 4

FORM 4

**UNITED STATES SECURITIES AND
 EXCHANGE COMMISSION**
 Washington, D.C. 20549

OMB APPROVED
OMB Number:
Estimated average burden hours per response:

**STATEMENT OF CHANGES IN
 BENEFICIAL OWNERSHIP**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>GARDEN EDWARD P</u> (Last) (First) (Middle) <u>223 SUNSET AVENUE</u> (Street) <u>PALM BEACH FL 33480</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (spec)
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable) Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock, par value \$0.01 per share								4,016,414	I

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. CF Disclosure (I) (4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					Title
Deferred Fee Phantom Stock Units ⁽²⁾	(3)	06/30/2023		A		426		(4)	(4)	Common Stock	426	\$105.74	13,019	

1. Name and Address of Reporting Person *

GARDEN EDWARD P

(Last) (First) (Middle)

223 SUNSET AVENUE

(Street)

(Street)

PALM BEACH

FL

33480

(City)

(State)

(Zip)

1. Name and Address of Reporting Person *

TRIAN FUND MANAGEMENT, L.P.

(Last)

(First)

(Middle)

280 PARK AVENUE, 41ST FLOOR

(Street)

NEW YORK

NY

10017

(City)

(State)

(Zip)

Explanation of Responses:

1. Trian Fund Management, L.P. ("Trian Management") serves as the management company for Trian SPV (Sub) X, L.P. ("Trian SPV X") and as such determines the investment and voting SPV X with respect to the shares of the Issuer held by Trian SPV X. Mr. Garden is a Senior Advisor to Trian Management, and a limited partner of an affiliate of Trian SPV X, and as such in the shares of the Issuer held by Trian SPV X. The Reporting Persons disclaim beneficial ownership of such shares except to the extent of their respective pecuniary interests therein and it is deemed an admission that the Reporting Persons are the beneficial owner of such securities for purposes of Section 16 or for any other purpose. Mr. Garden is a director of the Issuer.
2. Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
3. Each unit of phantom stock is the economic equivalent of one share of the Issuer's common stock.
4. Payable beginning one year after termination of service as a director.

Remarks:

/s/ Daniel R. Marx, Attorney-in-Fact for Edward P. Garden

/s/ Peter W. May, member of the general partner of Trian Fund Management, L.P.

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * UHL JESSICA R. (Last) (First) (Middle) GENERAL ELECTRIC COMPANY ONE FINANCIAL CENTER, SUITE 3700 (Street) BOSTON MA 02111 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol GENERAL ELECTRIC CO [GE]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10 Officer (give title below) Off
	3. Date of Earliest Transaction (Month/Day/Year) 06/30/2023	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check all applicable) X Form filed by One Reporting Person Form filed by More than One Reporting Person
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the defense conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of	2. Transaction	2A. Deemed	3. Transaction	4. Securities Acquired (A) or	5. Amount of Securities Beneficially	6. Ownership of
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Security (Instr. 3)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	Disposed Of (D) (Instr. 3, 4 and 5)	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Percentage (Instr. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		285		(3)	(3)	Common Stock	285	\$105.74	285

Explanation of Responses:

1. Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
2. Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
3. Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Jessica Uhl

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** Signature of Reporting Person

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Reynolds Paula Rosput</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>ONE FINANCIAL CENTER,</u> <u>SUITE 3700</u> (Street) <u>BOSTON MA 02111</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Iss (Check all applicable) <input checked="" type="checkbox"/> Director 10 Officer (give title below) Ot
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the defense conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Code (Instr. 8)	5. Amount (A) or Price (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023	A	454	(3)	(3)	Common Stock	454	\$105.74	12,75

Explanation of Responses:

1. Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
2. Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
3. Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Paula Rosput Reynolds

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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1. Name and Address of Reporting Person * <u>McDew Darren W</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>ONE FINANCIAL CENTER, SUITE 3700</u> (Street) <u>BOSTON MA 02111</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Iss (Check all applicable) <input checked="" type="checkbox"/> Director 10 Officer (give title below) Off
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Interest Direct (D) or Indirect (I) (Instr. 4)
			Code	V	Amount	(A or D)	Price		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		404		(3)	(3)	Common Stock	404	\$105.74	415

Explanation of Responses:

- Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
- Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
- Payable beginning one year after termination of service as a director.

Remarks:

[/s/ Brandon Smith, attorney in fact for Darren W. McDew](#)

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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1. Name and Address of Reporting Person <u>LESJAK CATHERINE A</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>ONE FINANCIAL CENTER, SUITE 3700</u> (Street) <u>BOSTON MA 02111</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10 Officer (give title below) Oth
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Fraction (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		454		(3)	(3)	Common Stock	454	\$105.74	10,57

Explanation of Responses:

- Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
- Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
- Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Catherine A. Lesjak

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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1. Name and Address of Reporting Person * <u>HORTON THOMAS W</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>ONE FINANCIAL CENTER, SUITE 3700</u> (Street) <u>BOSTON MA 02111</u>	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Iss (Check all applicable) <input checked="" type="checkbox"/> Director 10 Officer (give title below) 0tt
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the conditions of Rule 10b5-1(c). See Instruction 10.		

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership (Direct (D) or Indirect (I)) (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		475		(3)	(3)	Common Stock	475	\$105.74	14,19

Explanation of Responses:

- Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
- Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
- Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Thomas W. Horton

** Signature of Reporting Person

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1. Name and Address of Reporting Person * <u>Goren Isabella D</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>ONE FINANCIAL CENTER, SUITE 3700</u> (Street) <u>BOSTON MA 02111</u>	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Iss (Check all applicable) <input checked="" type="checkbox"/> Director 10 Officer (give title below) Ot
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
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Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the defense conditions of Rule 10b5-1(c). See Instruction 10.		

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership of Direct (D) or Indirect (I) (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		440		(3)	(3)	Common Stock	440	\$105.74	2,930

Explanation of Responses:

- Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
- Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
- Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Isabella D. Goren

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: Estimated average burden hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person Bazin Sebastien (Last) (First) (Middle) GENERAL ELECTRIC COMPANY ONE FINANCIAL CENTER, SUITE 3700 (Street)	2. Issuer Name and Ticker or Trading Symbol GENERAL ELECTRIC CO [GE]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10 Officer (give title below) 01
	3. Date of Earliest Transaction (Month/Day/Year) 06/30/2023	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check all applicable) X Form filed by One Reporting Person Form filed by More than One Reporting Person
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to result in the sale or disposition of securities of the issuer.		

BOSTON MA 02111

defense conditions of Rule 10b5-1(c). See Instruction 10.

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership of Direct (D) or Indirect (I) (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		733		(3)	(3)	Common Stock	733	\$105.74	23,908

Explanation of Responses:

- Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
- Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
- Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Sebastien Bazin

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number:
Estimated average burden hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>ANGEL STEPHEN F</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>ONE FINANCIAL CENTER,</u> <u>SUITE 3700</u>	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10 Officer (give title below) Other
	3. Date of Earliest Transaction (Month/Day/Year) <u>06/30/2023</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check A) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person
Rule 10b5-1(c) Transaction Indication		

(Street)
BOSTON MA 02111

 (City) (State) (Zip)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the defense conditions of Rule 10b5-1(c). See Instruction 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Percentage (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date				Title
Deferred Fee Phantom Stock Units (1)	(2)	06/30/2023		A		709		(3)	(3)	Common Stock	709	\$105.74	4,677

Explanation of Responses:

1. Acquired at a price of \$105.74 per unit pursuant to the terms of the 2022 Long-Term Incentive Plan.
2. Each unit of phantom stock is the economic equivalent of one share of the issuer's common stock.
3. Payable beginning one year after termination of service as a director.

Remarks:

/s/ Brandon Smith, attorney in fact for Stephen F. Angel

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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