

FORM 8.5 (EPT/NON-RI) - Amendment to 2a and 3a
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FORM 8.5 (EPT/NON-RI)
PUBLIC OPENING POSITION DISCLOSURE/DEALING DISCLOSURE BY AN
EXEMPT PRINCIPAL TRADER WITHOUT RECOGNISED INTERMEDIARY ("RI") STATUS
(OR WHERE RI STATUS IS NOT APPLICABLE)
Rule 8.5 of the Takeover Code (the "Code")

1. KEY INFORMATION

(a)	Name of exempt principal trader:	BARCLAYS CAPITAL SECURITIES LTD
(b)	Name of offeror/offeree in relation to whose relevant securities this form relates:	HARGREAVES LANSDOWN PLC
(c)	Name of the party to the offer with which exempt principal trader is connected	HARGREAVES LANSDOWN PLC
(d)	Date position held/dealing undertaken:	11 Sep 2024
(e)	In addition to the company in 1(b) above, is the exempt principal trader making disclosures in respect of any other party to the offer?	NO

2. POSITIONS OF THE EXEMPT PRINCIPAL TRADER

(a) Interests and short positions in the relevant securities of the offeror or offeree to which the disclosure relates following the dealing (if any)

Class of relevant security:	0.4p ordinary			
	Interests		Short Positions	
	Number	(%)	Number	(%)
(1) Relevant securities owned and/or controlled:	3,869,250	0.82%	435,658	0.09%
(2) Cash-settled derivatives:	592,791	0.12%	3,763,559	0.79%
(3) Stock-settled derivatives (including options) and agreements to purchase/sell:	0	0.00%	0	0.00%
TOTAL:	4,462,041	0.94%	4,199,217	0.89%

(b) Rights to subscribe for new securities (including directors and other executive options)

Class of relevant security in relation to which subscription right exists	
Details, including nature of the rights concerned and relevant percentages:	

3. DEALINGS (IF ANY) BY THE EXEMPT PRINCIPAL TRADER

(a) Purchase and sales

Class of relevant security	Purchase/sale	Total number of securities	Highest price per unit paid/received	Lowest price per unit paid/received
0.4p ordinary	Purchase	73867	11.0868 GBP	11.0563 GBP
0.4p ordinary	Sale	57570	11.0878 GBP	11.0500 GBP

(b) Cash-settled derivative transactions

Class of relevant	Product description	Nature of dealing	Number of reference	Price per
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security	description		securities	unit
0.4p ordinary	SWAP	Decreasing Short	4,807	11.0556 GBP
0.4p ordinary	SWAP	Decreasing Short	306	11.0614 GBP
0.4p ordinary	SWAP	Decreasing Short	1,185	11.0692 GBP
0.4p ordinary	SWAP	Decreasing Short	124	11.0700 GBP
0.4p ordinary	SWAP	Decreasing Short	180	11.0732 GBP
0.4p ordinary	SWAP	Decreasing Short	10,454	11.0747 GBP
0.4p ordinary	SWAP	Decreasing Short	203	11.0791 GBP
0.4p ordinary	SWAP	Decreasing Short	10,959	11.0800 GBP
0.4p ordinary	SWAP	Decreasing Short	116	11.0814 GBP
0.4p ordinary	SWAP	Increasing Short	970	11.0550 GBP
0.4p ordinary	SWAP	Increasing Short	5,896	11.0641 GBP
0.4p ordinary	SWAP	Increasing Short	4,539	11.0661 GBP
0.4p ordinary	SWAP	Increasing Short	4,519	11.0665 GBP
0.4p ordinary	SWAP	Increasing Short	3,734	11.0676 GBP
0.4p ordinary	SWAP	Increasing Short	6,943	11.0681 GBP
0.4p ordinary	SWAP	Increasing Short	403	11.0750 GBP
0.4p ordinary	SWAP	Increasing Short	2,658	11.0780 GBP
0.4p ordinary	SWAP	Increasing Short	2,469	11.0800 GBP
0.4p ordinary	SWAP	Increasing Short	324	11.0801 GBP
0.4p ordinary	SWAP	Increasing Short	1,421	11.0805 GBP
0.4p ordinary	SWAP	Increasing Short	1,633	11.0825 GBP
0.4p ordinary	SWAP	Increasing Short	22	11.1000 GBP
0.4p ordinary	CFD	Decreasing Short	720	11.0877 GBP
0.4p ordinary	CFD	Increasing Short	7,515	11.0759 GBP
0.4p ordinary	CFD	Increasing Short	223	11.0806 GBP
0.4p ordinary	CFD	Increasing Short	72	11.0868 GBP

(c) Stock-settled derivative transactions (including options)

(i) Writing, selling, purchasing or varying

Class of relevant security	Product description	Writing, purchasing, selling, varying etc	Number of securities to which option relates	Exercise price per unit	Type	Expiry date	Option money paid/ received per unit

(ii) Exercising

Class of relevant security	Product description	Exercising/ exercised against	Number of securities	Exercise price per unit

(d) Other dealings (including subscribing for new securities)

Class of relevant security	Nature of Dealings	Details	Price per unit (if applicable)

4. OTHER INFORMATION	
(a) Indemnity and other dealings arrangements	
Details of any indemnity or option arrangement, or any agreement or understanding, formal or informal, relating to relevant securities which may be an inducement to deal or refrain from dealing entered into by the exempt principal trader making the disclosure and any party to the offer or any person acting in concert with a party to the offer:	
NONE	
(b) Agreements, arrangements or understandings relating to options or derivatives	
Details of any agreement, arrangement or understanding, formal or informal, between the exempt principal trader making the disclosure and any other person relating to: (i) the voting rights of any relevant securities under any option; or (ii) the voting rights of future acquisition or disposal of any relevant securities to which any derivative is referenced:	
NONE	
(c) Attachments	
Is a Supplemental Form 8 (Open Positions) attached?	NO
Date of disclosure:	26 Sep 2024
Contact name:	Large Holdings Regulatory Operations
Telephone number:	020 3134 7213

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