

FORM 8.5 (EPT/NON-RI)  
PUBLIC OPENING POSITION DISCLOSURE/DEALING DISCLOSURE BY AN  
EXEMPT PRINCIPAL TRADER WITHOUT RECOGNISED INTERMEDIARY ("RI") STATUS  
(OR WHERE RI STATUS IS NOT APPLICABLE)  
Rule 8.5 of the Takeover Code (the "Code")

**1. KEY INFORMATION**

(a)	Name of exempt principal trader:	BARCLAYS CAPITAL SECURITIES LTD
(b)	Name of offeror/offeree in relation to whose relevant securities this form relates:	HARGREAVES LANSDOWN PLC
(c)	Name of the party to the offer with which exempt principal trader is connected	HARGREAVES LANSDOWN PLC
(d)	Date position held/dealing undertaken:	01 Oct 2024
(e)	In addition to the company in 1(b) above, is the exempt principal trader making disclosures in respect of any other party to the offer?	NO

**2. POSITIONS OF THE EXEMPT PRINCIPAL TRADER**

(a) Interests and short positions in the relevant securities of the offeror or offeree to which the disclosure relates following the dealing (if any)

Class of relevant security:	0.4p ordinary			
	Interests		Short Positions	
	Number	(%)	Number	(%)
(1) Relevant securities owned and/or controlled:	4,503,513	0.95%	424,882	0.09%
(2) Cash-settled derivatives:	572,635	0.12%	4,179,635	0.88%
(3) Stock-settled derivatives (including options) and agreements to purchase/sell:	0	0.00%	0	0.00%
<b>TOTAL:</b>	<b>5,076,148</b>	<b>1.07%</b>	<b>4,604,517</b>	<b>0.97%</b>

(b) Rights to subscribe for new securities (including directors and other executive options)

Class of relevant security in relation to which subscription right exists	
Details, including nature of the rights concerned and relevant percentages:	

**3. DEALINGS (IF ANY) BY THE EXEMPT PRINCIPAL TRADER**

(a) Purchase and sales

Class of relevant security	Purchase/sale	Total number of securities	Highest price per unit paid/received	Lowest price per unit paid/received
0.4p ordinary	Purchase	303891	11.1433 GBP	11.1138 GBP
0.4p ordinary	Sale	72161	11.1350 GBP	11.1114 GBP

(b) Cash-settled derivative transactions

Class of relevant security	Product description	Nature of dealing	Number of reference securities	Price per unit
0.4p ordinary	SWAP	Decreasing Short	1,867	11.1101 GBP
0.4p ordinary	SWAP	Decreasing Short	25,900	11.1131 GBP
0.4p ordinary	SWAP	Decreasing Short	3,181	11.1136 GBP
0.4p ordinary	SWAP	Decreasing Short	6,422	11.1150 GBP
0.4p ordinary	SWAP	Decreasing Short	6,672	11.1163 GBP
0.4p ordinary	SWAP	Decreasing Short	2,180	11.1191 GBP
0.4p ordinary	SWAP	Decreasing Short	2,472	11.1257 GBP
0.4p ordinary	SWAP	Decreasing Short	3,215	11.1283 GBP
0.4p ordinary	SWAP	Decreasing Short	1	11.1300 GBP
0.4p ordinary	SWAP	Increasing Short	10,797	11.1150 GBP
0.4p ordinary	SWAP	Increasing Short	8,551	11.1151 GBP
0.4p ordinary	SWAP	Increasing Short	4,912	11.1158 GBP
0.4p ordinary	SWAP	Increasing Short	14,255	11.1167 GBP

0.4p ordinary	SWAP	Increasing Short	14,555	11.1197 GBP
0.4p ordinary	SWAP	Increasing Short	1,047	11.1191 GBP
0.4p ordinary	SWAP	Increasing Short	6,929	11.1231 GBP
0.4p ordinary	SWAP	Increasing Short	853	11.1290 GBP
0.4p ordinary	SWAP	Increasing Short	14,018	11.1291 GBP
0.4p ordinary	SWAP	Increasing Short	2,671	11.1366 GBP
0.4p ordinary	SWAP	Increasing Short	628	11.1379 GBP
0.4p ordinary	SWAP	Increasing Short	2,387	11.1423 GBP
0.4p ordinary	SWAP	Increasing Short	200,000	11.1433 GBP
0.4p ordinary	CFD	Decreasing Short	3,018	11.1122 GBP
0.4p ordinary	CFD	Increasing Short	19,407	11.1251 GBP
0.4p ordinary	CFD	Increasing Short	5,900	11.1396 GBP

(c) Stock-settled derivative transactions (including options)

(i) Writing, selling, purchasing or varying

Class of relevant security	Product description	Writing, purchasing, selling, varying etc	Number of securities to which option relates	Exercise price per unit	Type	Expiry date	Option money paid/ received per unit

(ii) Exercising

Class of relevant security	Product description	Exercising/ exercised against	Number of securities	Exercise price per unit

(d) Other dealings (including subscribing for new securities)

Class of relevant security	Nature of Dealings	Details	Price per unit (if applicable)

4. OTHER INFORMATION

(a) Indemnity and other dealings arrangements

Details of any indemnity or option arrangement, or any agreement or understanding, formal or informal, relating to relevant securities which may be an inducement to deal or refrain from dealing entered into by the exempt principal trader making the disclosure and any party to the offer or any person acting in concert with a party to the offer:

NONE

(b) Agreements, arrangements or understandings relating to options or derivatives

Details of any agreement, arrangement or understanding, formal or informal, between the exempt principal trader making the disclosure and any other person relating to:

- (i) the voting rights of any relevant securities under any option; or  
(ii) the voting rights of future acquisition or disposal of any relevant securities to which any derivative is referenced:

NONE

(c) Attachments

Is a Supplemental Form 8 (Open Positions) attached?	NO
Date of disclosure:	02 Oct 2024
Contact name:	Large Holdings Regulatory Operations
Telephone number:	020 3134 7213

This information is provided by RNS, the news service of the London Stock Exchange. RNS is approved by the Financial Conduct Authority to act as a Primary Information Provider in the United Kingdom. Terms and conditions relating to the use and distribution of this information may apply. For further information, please contact [ms@seg.com](mailto:ms@seg.com) or visit [www.ms.com](http://www.ms.com).

RNS may use your IP address to confirm compliance with the terms and conditions, to analyse how you engage with the information contained in this communication, and to share such analysis on an anonymised basis with others as part of our commercial services. For further information about how RNS and the London Stock Exchange use the personal data you provide us, please see our [Privacy Policy](#).

END

FEODZMGGGZLGDZM