

FORM 8.5 (EPT/NON-RI)

This form amends RNS number 0649R published at 11:34 on 04/06/2024, changes made to section 3a

PUBLIC OPENING POSITION DISCLOSURE/DEALING DISCLOSURE BY AN  
EXEMPT PRINCIPAL TRADER WITHOUT RECOGNISED INTERMEDIARY ("RI") STATUS  
(OR WHERE RI STATUS IS NOT APPLICABLE)  
Rule 8.5 of the Takeover Code (the "Code")

1. KEY INFORMATION

(a)	Name of exempt principal trader:	BARCLAYS CAPITAL SECURITIES LTD
(b)	Name of offeror/offeree in relation to whose relevant securities this form relates:	HARGREAVES LANSDOWN PLC
(c)	Name of the party to the offer with which exempt principal trader is connected	HARGREAVES LANSDOWN PLC
(d)	Date position held/dealing undertaken:	03 Jun 2024
(e)	In addition to the company in 1(b) above, is the exempt principal trader making disclosures in respect of any other party to the offer?	NO

2. POSITIONS OF THE EXEMPT PRINCIPAL TRADER

(a) Interests and short positions in the relevant securities of the offeror or offeree to which the disclosure relates following the dealing (if any)

Class of relevant security:	0.4p ordinary			
	Interests		Short Positions	
	Number	(%)	Number	(%)
(1) Relevant securities owned and/or controlled:	703,159	0.15%	8,858,590	1.87%
(2) Cash-settled derivatives:	8,927,604	1.88%	565,192	0.12%
(3) Stock-settled derivatives (including options) and agreements to purchase/sell:	0	0.00%	0	0.00%
TOTAL:	9,630,763	2.03%	9,423,782	1.99%

(b) Rights to subscribe for new securities (including directors and other executive options)

Class of relevant security in relation to which subscription right exists	
Details, including nature of the rights concerned and relevant percentages:	

3. DEALINGS (IF ANY) BY THE EXEMPT PRINCIPAL TRADER

(a) Purchase and sales

Class of relevant security	Purchase/sale	Total number of securities	Highest price per unit paid/received	Lowest price per unit paid/received
0.4p ordinary	Purchase	760489	10.7950 GBP	10.6100 GBP
0.4p ordinary	Sale	356102	10.7724 GBP	10.6100 GBP

(b) Cash-settled derivative transactions

Class of relevant security	Product description	Nature of dealing	Number of reference securities	Price per unit
0.4p ordinary	CFD	Decreasing Short	3,253	10.6400 GBP

0.4p ordinary	CFD	Decreasing Short	1,631	10.6968 GBP
0.4p ordinary	CFD	Decreasing Short	290	10.7440 GBP
0.4p ordinary	CFD	Increasing Short	4,884	10.6966 GBP
0.4p ordinary	SWAP	Decreasing Short	4,161	10.6853 GBP
0.4p ordinary	SWAP	Decreasing Short	440	10.6898 GBP
0.4p ordinary	SWAP	Opening Long	1,371	10.6619 GBP
0.4p ordinary	SWAP	Decreasing Short	90	10.6568 GBP
0.4p ordinary	SWAP	Increasing Long	1,505	10.6400 GBP
0.4p ordinary	SWAP	Decreasing Long	2,946	10.6805 GBP
0.4p ordinary	SWAP	Decreasing Long	5,412	10.6976 GBP
0.4p ordinary	SWAP	Increasing Long	8,398	10.6400 GBP
0.4p ordinary	SWAP	Increasing Long	54	10.7000 GBP
0.4p ordinary	SWAP	Increasing Long	2,496	10.7343 GBP
0.4p ordinary	SWAP	Decreasing Long	166	10.6250 GBP
0.4p ordinary	SWAP	Decreasing Long	274	10.6350 GBP
0.4p ordinary	SWAP	Decreasing Long	84,654	10.6401 GBP
0.4p ordinary	SWAP	Decreasing Long	96,495	10.6593 GBP
0.4p ordinary	SWAP	Decreasing Long	1,074	10.6928 GBP
0.4p ordinary	SWAP	Decreasing Long	1,633	10.7334 GBP
0.4p ordinary	SWAP	Increasing Long	1	10.6399 GBP
0.4p ordinary	SWAP	Increasing Long	39	10.7199 GBP
0.4p ordinary	SWAP	Increasing Long	759	10.7245 GBP
0.4p ordinary	SWAP	Increasing Long	226	10.7781 GBP
0.4p ordinary	SWAP	Increasing Long	102	10.7799 GBP
0.4p ordinary	SWAP	Decreasing Long	443	10.6174 GBP
0.4p ordinary	SWAP	Decreasing Long	858	10.6214 GBP
0.4p ordinary	SWAP	Decreasing Long	1,534	10.6347 GBP
0.4p ordinary	SWAP	Decreasing Long	20,047	10.6368 GBP
0.4p ordinary	SWAP	Decreasing Long	14,474	10.6400 GBP
0.4p ordinary	SWAP	Decreasing Long	8,265	10.6414 GBP
0.4p ordinary	SWAP	Decreasing Long	518	10.6482 GBP
0.4p ordinary	SWAP	Decreasing Long	4,611	10.7289 GBP
0.4p ordinary	SWAP	Decreasing Long	1,650	10.6266 GBP
0.4p ordinary	SWAP	Decreasing Long	550	10.6647 GBP
0.4p ordinary	SWAP	Decreasing Long	4,718	10.6708 GBP
0.4p ordinary	SWAP	Decreasing Long	4,511	10.6733 GBP
0.4p ordinary	SWAP	Decreasing Long	21,079	10.6784 GBP
0.4p ordinary	SWAP	Decreasing Long	3,411	10.6815 GBP
0.4p ordinary	SWAP	Decreasing Long	10,365	10.6836 GBP
0.4p ordinary	SWAP	Decreasing Long	23,478	10.6882 GBP
0.4p ordinary	SWAP	Decreasing Long	9,889	10.6949 GBP
0.4p ordinary	SWAP	Decreasing Long	2,487	10.7033 GBP

0.4p ordinary	SWAP	Decreasing Long	250	10.7245 GBP
0.4p ordinary	SWAP	Decreasing Short	527	10.6780 GBP
0.4p ordinary	SWAP	Increasing Long	580	10.6263 GBP
0.4p ordinary	SWAP	Increasing Long	8,135	10.6390 GBP
0.4p ordinary	SWAP	Increasing Long	812	10.6397 GBP
0.4p ordinary	SWAP	Increasing Long	5,265	10.6473 GBP
0.4p ordinary	SWAP	Increasing Long	8,577	10.7129 GBP
0.4p ordinary	SWAP	Decreasing Long	78,172	10.6400 GBP
0.4p ordinary	SWAP	Decreasing Long	42,830	10.6496 GBP
0.4p ordinary	SWAP	Decreasing Long	205	10.7100 GBP
0.4p ordinary	SWAP	Decreasing Long	9,742	10.6397 GBP

(c) Stock-settled derivative transactions (including options)

(i) Writing, selling, purchasing or varying

Class of relevant security	Product description	Writing, purchasing, selling, varying etc	Number of securities to which option relates	Exercise price per unit	Type	Expiry date	Option money paid/ received per unit

(ii) Exercising

Class of relevant security	Product description	Exercising/ exercised against	Number of securities	Exercise price per unit

(d) Other dealings (including subscribing for new securities)

Class of relevant security	Nature of Dealings	Details	Price per unit (if applicable)

**4. OTHER INFORMATION**

(a) Indemnity and other dealings arrangements

<p>Details of any indemnity or option arrangement, or any agreement or understanding,</p> <p>formal or informal, relating to relevant securities which may be an inducement to deal or refrain from dealing entered into by</p> <p>the exempt principal trader making the disclosure and any party</p> <p>to the offer or any person acting in concert with a party to the offer:</p> <p style="text-align: center;">NONE</p>
---

(b) Agreements, arrangements or understandings relating to options or derivatives

<p>Details of any agreement, arrangement or understanding, formal or informal, between</p> <p>the exempt principal trader making the disclosure and any other person relating to:</p> <p>(i) the voting rights of any relevant securities under any option; or</p> <p>(ii) the voting rights of future acquisition or disposal of any relevant securities to which</p> <p>any derivative is referenced:</p> <p style="text-align: center;">NONE</p>
---

(c) Attachments

Is a Supplemental Form 8 (Open Positions) attached?	NO
Date of disclosure:	21 Mar 2025
Contact name:	Large Holdings Regulatory Operations
Telephone number:	020 3134 7213

This information is provided by RNS, the news service of the London Stock Exchange. RNS is approved by the Financial Conduct Authority to act as a Primary Information Provider in the United Kingdom. Terms and conditions relating to the use and distribution of this information may apply. For further information, please contact [ms@lse.com](mailto:ms@lse.com) or visit [www.ms.com](http://www.ms.com).

RNS may use your IP address to confirm compliance with the terms and conditions, to analyse how you engage with the information contained in this communication, and to share such analysis on an anonymised basis with others as part of our commercial services. For further information about how RNS and the London Stock Exchange use the personal data you provide us, please see our [Privacy Policy](#).

END

FEOPKQBQABKKNNB