## Nasdaq Regulation



William Slattery, CFA Vice President Listing Qualifications

## By Electronic Mail

March 18, 2021 Division of Corporation Finance U.S. Securities and Exchange Commission 100 F Street, N.E. Washington, D.C. 20549

Division of Corporation Finance:

This is to certify that on March 18, 2021 The Nasdaq Stock Market LLC (the "Exchange") received from 4D pharma plc (the "Registrant") a copy of the Registrant's application on Form 8-A 12(b) for the registration of the following securities:

American Depositary Shares, each representing 8 ordinary shares, nominal value £0.0025 per share

Ordinary Shares, nominal value £0.0025 per share

Warrants, each exercisable for 3.76575 ordinary shares, nominal value £0.0025 per share

We further certify that the securities described above have been approved by the Exchange for listing and registration upon official notice of issuance.

We understand that the Registrant is seeking effectiveness of the Form 8-A 12(b) concurrently with effectiveness of its 1933 Act Registration Statement, and we hereby join in such request.

Sincerely,

William Sattery