

Management transactions

Published notifications of management transactions of SIX Swiss Exchange-listed companies.

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Issuer	Roche Holding AG
Date	12.05.2017
Transaction executed by	an executive member of the board of directors / member of the executive committee
Type of transaction	Purchase
Type of rights	Other securities
Total amount of rights	1
Transaction Value	CHF 93'720.00
ISIN	CH0012032048
Principal terms of the financial instruments	ROG
Further transaction details	Conclusion of the Roche Connect pre-trading purchase plan 2017/2018 on 12 May 2017. The plan offers Roche employees the opportunity to buy Roche non-voting equity securities (NES [ROG]) at a discount (20%, which is contributed by Roche). Individual monthly employee contributions are fixed once a year in advance (for the duration of 1 year) and are deducted monthly from salary (i.e. June 2017 - May 2018). Specific NES purchases are subsequently carried out in the following months (i.e. July 2017 - June 2018). NES are automatically purchased by Roche (without any influence of the employee) at market price on the 18th of each month (or a subsequent trading day, if 18th falls on a trading holiday) based on the total amount of the individual monthly deduction (plus 20% Roche contribution) committed. Dividend payments for purchased NES under the plan are automatically reinvested for the purchase of other NES. Due to unknown future NES market prices at the monthly purchase dates, the number of future NES can't be calculated in advance and is set as 1 (see Commentary re Art. 58 of the Listing Rules and the Directive on the Disclosure of Management Transactions, note 80,132 ss.). The transaction value indicated is the total yearly amount available for NES purchases.