

# 多模态文档大模型 mPLUG-DocOwl

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# ▶ 演讲嘉宾





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负责通义多模态大模型mPLUG系列工作,包括基础多模态模型 mPLUG/mPLUG-2,多模态对话大模型mPLUG-Owl,多模态文档大模 型mPLUG-DocOwl, 多模态智能体Mobile-Agent等, 其中 mPLUG 工 作在 VQA 榜单首超人类的成绩。在国际顶级期刊和会议 ICML/CVPR/ICCV/ACL/EMNLP/MM/TOIS/IJCAI/AAAI等发表论文40 多篇,并担任多个顶级和会议AC/PC/Reviewer。主导参与开源项目 mPLUG, X-PLUG, AliceMind, DELTA.





- 1. 多模态文档大模型背景
- 2. mPLUG-DocOwl系列工作
- 3. mPLUG-DocOwl开源实战
- 4. 总结与展望

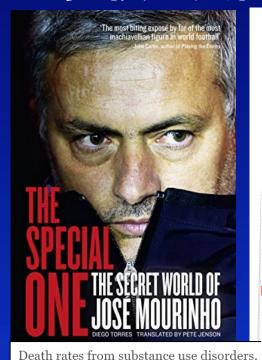


# PART 01 多模态文档大模型背景

population.

# 多模态文档大模型背景





Substance use disorders refers to direct deaths from overdoses of alcohol cocaine, amphetamines and cannabis). Death rates are measured as the r

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#### BUDGET REQUEST SU

	May 1, 19 66 Mi through Apr. 30, 19 67 Al
1. Personnel	s 11,228.00 s
2. Permanent Scientific Equipment	s <u>-0-</u> s_
3. Expendable Scientific Items & Services	s 840.00 s
4. Other Expense	s <u>975.00</u> s
5, TOTAL Exclusive of Overhead	<u>\$ 13.043.00 </u> \$
6. Overhead	s_1,957.00_s_
7 TOTAL AMOUNT of Description	e 15 000 00 e

#### FREE SOFTWARE

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FREE SOFTWARE



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#### Intern at the FSF

Free Software Foundation

This is an educational opportunity to work with the organization that sponsors the GNU Project, publishes the GNU General Public License (GPL), and fights for software freedom.

As an intern, you work closely with FSF staff members in your area of interest, such as campaign and community organizing, free software licensing, systems and network administration, GNU Project support, or Web development

#### All applicants must be:

- . open-minded and tolerant of others.
- · able to work as part of a team, and
- interested in the ethical ramifications of computing.

We strongly prefer applicants able to work in-person at the FSF headquarters in downtown Boston, but applicants based elsewhere may also be considered. Unfortunately, due to the complexities of US immigration law,

Omortunately, due to the complexities of OS miningration law, applicants who are not US citizens or permanent residents and do not have a visa allowing them to work in the US must work remotely from outside the US.

These positions are unpaid unless you are doing your internship through Outreachy, but in either case, the FSF will provide any appropriate documentation you might need to receive furning and school credit from outside sources. We place an emphasis on providing hands-on educational opportunities for interns in which they work closely with staff mentors. Take a look at our past interns and some of their projects, including promoting GNU, launching the free Javascript campaign, contributing to Decker by Deaign, and updating the Email Self-Defense project. You can also see dozens of bloos from past interns who worked for our tech bank here. A small number of past interns have even become



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Free Software Supporter

# 富含文字的图片(简称"文档图片") 在生活中无处不在!

# Cyprus 1.34 Cyprus 1.34 0 1 2 3 4 5 6 Source: IHME, Global Burden of Disease Note: To allow comparisons between countries and over time this metric is age-standardized.

Approved Not Approved

industrydocuments.ucsf.edu/docs/zxfk0226

sponses being scored as A, demonstrating the stronger understanding ability of mPLUG-DocOwl in diverse document scenarios. Besides, it's worth noting that all models have some responses scored as "C" or "D", showing that instruction understanding performance in the document domain is still far from promising and needs more endeavor.

#### 4.2 Benchmark Evaluation

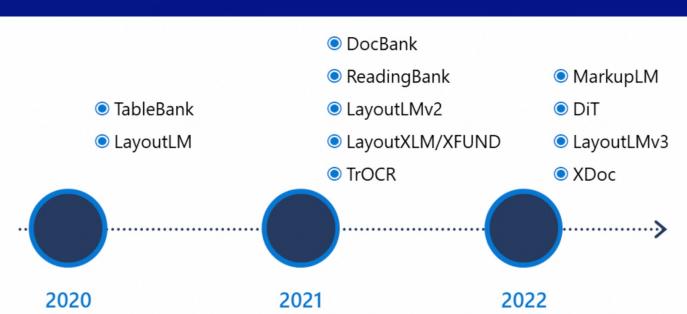
Besides human evaluation, we also compare our mPLUG-DocOwl with ocr-free state-of-the-art document understanding models on public datasets. Table 1 shows the comparison with Dessurt [Davis et al., 2022], Donut [Kim

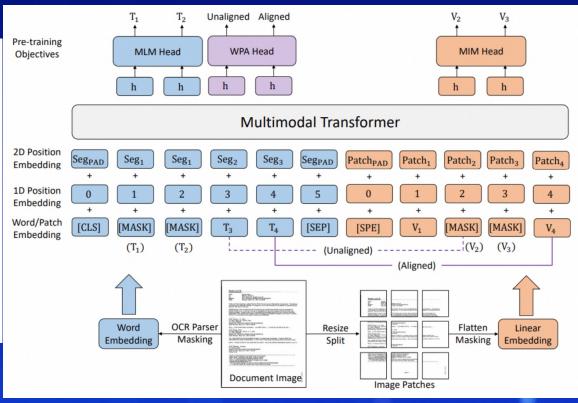


Figure 3: Human evaluation of mPLUG-DocOwl, mPLUG-Owl and MiniGPT-4 on LLMDoc.









**Revolutionizing Document AI with Multimodal Document Foundation Models** 





#### 一个通用的多模态大模型应该具备文档图片理解的能力,例如 GPT4V

#### **Prompt:**

How many families are earning more than 13000 and owns more than 2 cars?

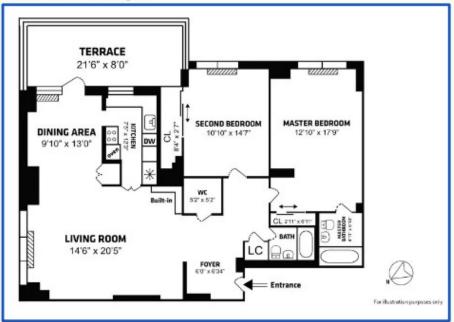
Monthly	Vehicles per family								
income (in Rs.)	0	1	2	Above 2					
Less than 7000	10	160	25	0					
7000 – 10000	0	305	27	2					
10000 – 13000	1	535	29	1					
13000 – 16000	2	469	59	25					
16000 or more	1	579	82	88					

#### GPT-4V:

From the given table, we can clearly see that there are a total of 113 families that earn more than Rs. 13000 and own more than 2 cars (25 families in the 13000-16000 range and 88 families in the 16000 or more range).

#### **Prompt:**

Describe the image.



#### GPT-4V:

This image is a floor plan for an apartment or condominium. It shows the layout of the rooms and the dimensions. There is a terrace, dining area, living room, foyer, two bedrooms, a master bathroom, and a guest bathroom. The floor plan is black and white and is a two-dimensional drawing.





#### 多模态大模型模型(MLLM)主要关注于一般图片的对话和问答

mPLUG-Owl



What is unusual about this image



#### Score: A



What makes this scene unusual is the presence of a man ironing clothes on a busy New York City street while a large yellow taxi drives by in the background. Usually, people would not iron clothes in such an urban environment, as it is more common to do so in a more private or quieter location. The combination of a street scene and a man ironing his clothes in public can create a unique visual impression and draw attention to the man's unusual activity.



What is funny about this image? Describe it panel by



#### Score: P



This image is funny because it shows a person holding a cell phone and a USB cord, with a small battery and a USB charger plug. The person is using the USB charger to charge the phone using the battery, which is an unusual and comedic way to charge a cell phone. It is humorous because it shows the person's resourcefulness in finding ways to charge their phone without the usual charging cable or plug-in. Additionally, the person's hand holding the phone and the battery is positioned in a way that makes it appear as if they are trying to keep the battery and the phone together, adding to the comedic element. Overall, the image is funny due to the unusual charging method, the positioning of the hand holding the phone and the battery, and the small battery and USB charger being combined.

#### LLaVA

#### Visual input example, Chicken Nugget Map:

Sometimes Liust look at nictures of the earth from space and I marvel at how beautiful it all is



User LLaVA Can you explain this meme in detail?

The meme in the image is a creative and humorous take on food, with a focus on chicken nuggets, as the center of the universe. The meme begins with the phrase "Sometimes I just look at pictures of the Earth from space and I marvel at how beautiful it all is..." The main image features a tray of cooked chicken nuggets, with the chicken pieces positioned to resemble the continents and islands on a world map. The meme playfully suggests that the chicken nuggets represent the Earth, and the various locations depicted in the photo are actually chicken nugget versions of different places. The punchline of the meme appears in smaller text at the bottom, reading "I mean, it's not the real Earth, but how beautiful it is all is." This lighthearted and entertaining meme combines food and humor, using the image of chicken nuggets to create a funny and imaginative representation of the world.

#### InstructBLIP



What could have happened based on the



Based on the current scene in the image, it is possible that a hurricane or severe weather event caused significant damage to the buildings and infrastructure in the area. The person walking through the debris-covered area suggests that they are inspecting the damage caused by the disaster. The presence of palm trees indicates that the location may be in a tropical or sub-tropical region which can be more susceptible to hurricanes and other natural disasters

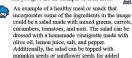


Can you tell me about this image in detail?

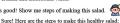


The image depicts a collection of various vegetables including carrots, cucumbers, omatoes, and nuts arranged on a stone surface. There are several jars filled with different types of ingredients, such as peanuts, cashews, sunflower seeds, and pumpkin seeds. These ingredients are likely to be part of a healthy meal or snack.





unch and nutritional value Sounds good! Show me steps of making this salad. 🤵 1. Wash and chop the mixed greens, carrots,



ucumbers, and tomatoes into bite-sized pieces 2. In a small bowl, whisk together olive oil, lemon juice, salt, and pepper to create a homemade

vinaigrette dressing. 3. Place the chopped vegetables in a large bowl and toss them with the vinaigrette dressing.

4. Sprinkle pumpkin seeds or sunflower seeds on top of the salad for added crunch and nutritional value. 5. Serve the salad immediately or store it in the refrigerator until ready to enjoy



in detail.



The painting depicts a young girl with a pearl earring also known as "The Girl with the Pearl Earring" or "Girl with a Pearl Earring". She is wearing a blue dress and has a pearl earring on her left ear. Her hair is pulled back into a bun, and she is looking directly at the viewer. This painting is a famous work of art by Dutch painter Johannes Vermeer, created in 1665 It is considered one of his masterpieces and is widely recognized for its intricate details and realistic portrayal of the young girl's facial expression



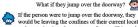
Can you describe this image in detail?

The image depicts a person standing in front of an open doorway, looking out into space. They are wearing a jacket and appears to be contemplating the vastness of the universe beyond the doorway. In the background, there are multiple stars visible, creating a stunning celestial scene. The person's gaze is directed towards the center of the image, where a bright star can be seen shining brightly. There are

also smaller stars scattered throughout the scene,

adding to the overall cosmic atmosphere

What if they jump over the doorway?



would be leaving the confines of their current locatio and venturing into the vastness of space. This could be a metaphorical representation of stepping out of one's comfort zone or embarking on a new adventure. However, it is important to note that such an action would be extremely dangerous and potentially lifethreatening due to the harsh conditions of space.











# 由于预训练中存在富含文字的图片,他们也初显一定的图片文字理解能力 MLLM具备实现通用文档图片理解的潜力



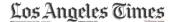
Explain why this meme is funny.



#### Score: A



The humor in this meme comes from its playful use of words and the visual depiction of a cute, tired French bulldog lying down on its side, possibly after a long day. The phrase "just... Monday," is commonly used to express a feeling of weariness or frustration with the start of a new week, and the dog's expression of exhaustion perfectly captures this mood. The image is visually appealing and relatable, making it a funny and entertaining way to express a common sentiment.



joins Trump's



parole after 9 years

Write a newscast based on the picture





The Los Angeles Times is reporting that O.J. Simpson, the infamous American former football player and actor, has been paroled from the Nevada State Prison after spending nearly nine years incarcerated for a 2007 armed robbery. Simpson was granted parole on Thursday by Nevada's Parole Board, which cited his positive behavior and good conduct during his time in prison. Simpson's release comes as President Donald Trump recently announced a new list of potential pardons, which included Simpson.

In the wake of the news, Simpson's longtime friend, Al Sharpton, issued a statement expressing support for the parole decision, saying that Simpson has been "rehabilitated" and deserves a second chance in life. Sharpton added that Simpson's release is a victory for "all Americans who believe in redemption and second chances."

However, not everyone is celebrating Simpson's release. Many people, including some of the victims of his crimes, have voiced opposition to his release, saying that it undermines the rule of law and sends a negative message to society. As a result of his parole, Simpson will have several restrictions placed on his freedom, including a curfew, a ban on alcohol consumption, and the requirement to wear an electronic monitoring bracelet. Simpson will also be required to stay in Nevada for the first year of his parole and will not be allowed to return to California, where the murders of Nicole Brown Simpson and Ron Goldman took place in 1994. Simpson will also be required to participate in a mental health program and complete community service work. Overall, the release of O.J. Simpson has sparked controversy and divided public

opinion. While some believe that Simpson has been rehabilitated and deserves a second chance, others believe that his release sends the wrong message about the importance of accountability for crimes committed.



# ▶ 多模态文档大模型挑战



#### 利用MLLM进行文档图片理解的五大挑战:

- 文字相关的图片在类型、形状、大小三个方面都十分多样化,已有的MLLM的视觉编码器难以 编码这些图片
  - 2023.7 mPLUG-DocOwl/UReader: 无参数的形状适应的切图模块,EMNLP2023
- 文档相关的任务形式很多样,包括信息抽取,问答和自然语言推理等,涉及文字识别和语义理 解等不同层次:
  - 2023.7 mPLUG-DocOwl/UReader: 多任务联合学习, EMNLP2023
- 文档图片在结构布局方面十分复杂多样化,其对于文档语义理解十分关键; 2024.3 mPLUG-DocOwl 1.5: 统一的结构学习
- 作为文档图片的一类,Chart问答更考验模型的多步推理和数学计算的能力; 2024.4 TinyChart-3B: 通过Program-of-Thought解决Chart领域多步推理和数学计算问题
- 特殊场景(例如论文理解)下,文档图片的理解需要外部知识的支持; 2023.12 mPLUG-PaperOwl: 基于上下文的论文图表分析, MM2024



# PART 01 多模态文档大模型背景





DocOwl: 通过轻量化训练,将已有的MLLM拓展到文档图片领域

1.文字相关的图片在类型、形状、大小三个方面都十分多样化,已有的MLLM的视觉编码器难以编码这些图片;

Ours: 无参数的 "形状适应的切图模块"

2.文档相关的任务形式很多样,包括信息抽取,问答和自然语言推理等,涉及文字识别和语义理解等不同层次;

Ours: 多任务联合学习+辅助学习任务

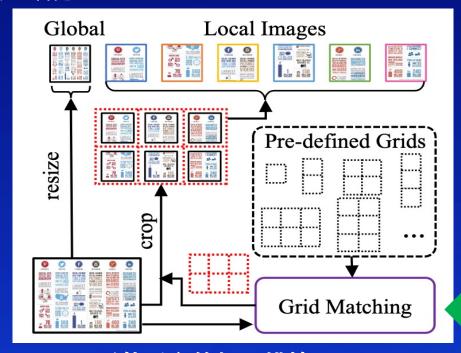
Ye J, Hu A, Xu H, et al. mplug-docowl: Modularized multimodal large language model for document understanding[J]. arXiv preprint arXiv:2307.02499, 2023.

Ye J, Hu A, Xu H, et al. UReader: Universal OCR-free Visually-situated Language Understanding with Multimodal Large Language Model[J]. arXiv preprint arXiv:2310.05126, 2023.





#### 模型结构

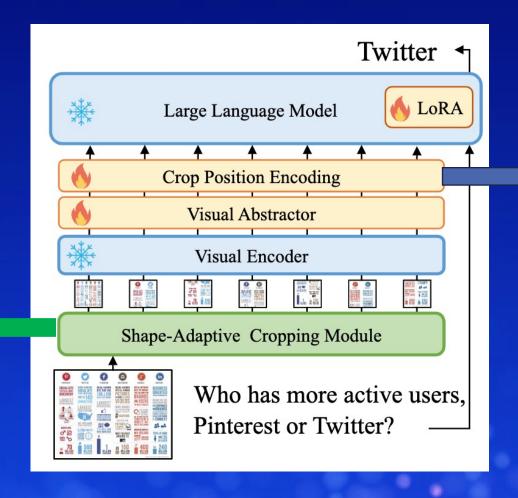


形状适应的切图模块  $1.Grids = \{1\times1, 1\times2, \dots 1\times20,$ 

 $2 \times 1, 2 \times 1, ..., 2 \times 10,$ 

20×1}

2.同时考虑形状和大小进行grid选择)



行第几列





#### 模型训练: 训练任务

#### 下游任务

Visual Question Answering (问答)

Information Extraction (信息抽取)

Natural Language Inference (自然语言推理)

Image Captioning (图像描述生成)



Human: What will the presentation aim to encourage a discussion about AI: It will aim to encourage a discussion about open data ownership rights and the differing social

Human: what is the value mentioned in the CID#:
AI: 736 00 00

Human: juan román riquelme have the same score on july 11, 2007 november 17 2007, Yes or No? AI: Yes



Human: Create a compact narrative representing the image presented.

AI: Sign that says Calea Dorobantilor in blue and white

#### 辅助任务

Text Reading (文字阅读)

Key Points Generation (要点生成)



模型训练: 训练任务

#### 辅助任务

#### Text Reading: 增强模型基础的文字识别能力

- 整个图片的文字序列按照从上到下, 从左到右的形式组织,
- 随机选取序列中 $\{0,\frac{1}{6},\frac{2}{6},...,\frac{5}{6}\}$ 的一个位置(概率分布为 $\{0.5,0.1,0.1,...,0.1\}$ )作为断点,
- 要求模型根据图片和断点前面的文字, 生成断点后面的文字
- 相比所有的样例都读全部文字,这种方法可以让模型更多地关注图片上不同区域的文字

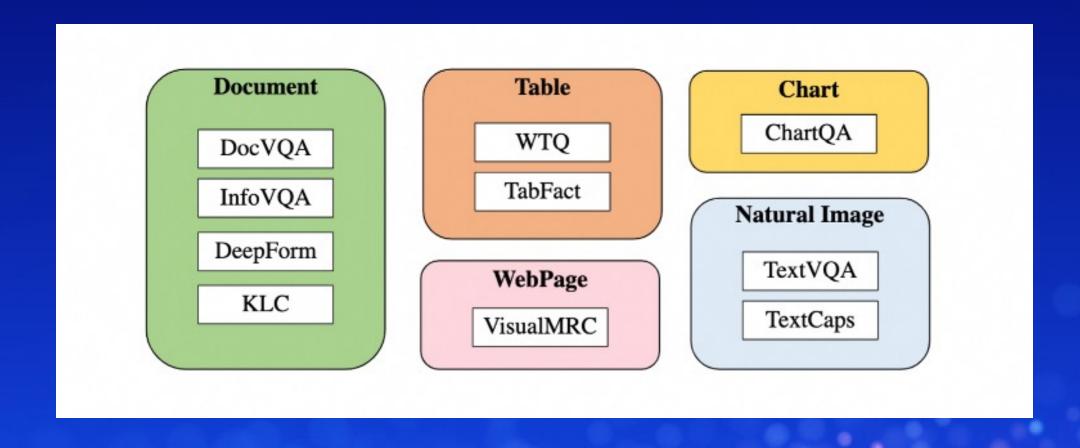
#### Key Points Generation:增强模型更高层次的语义理解能力

- 对于每张图片的question-answer对,使用Vicuna将其转为陈述句的形式,即key point;
- · 要求模型根据图片, 生成多个key points





模型训练: 训练数据







#### 实验结果

Table 1: Comparison with ocr-free methods on various types of visually-situated language understanding tasks. 'TSFT' means task-spcific fine-tuning on the downstream dataset. 'underline' means achieving 80% SOTA performance.

Model	Train Param	TS FT	Doc VQA	Info VQA	Deep Form	KLC	WTQ	TabFact	ChartQA	TextVQA	TextCaps	Visual MRC
Dessurt	127M	<b>√</b>	63.2	-	-	- 1	-	-	-	-	-	-
Donut	176M	✓	67.5	11.6	61.6	30.0	18.8	54.6	41.8	43.5	74.4	93.91
Pix2Struct <sub>base</sub>	282M	✓	72.1	38.2	-	-	-	-	56.0	-	88.0	-
$Pix2Struct_{large}$	1.3B	✓	<b>76.6</b>	40.0	-	-	-	-	58.6	-	95.5	-
DocOwl	86M	×	<u>65.4</u>	42.2	49.5	32.8	29.4	67.6	59.3	57.6	118.4	221.7



结构布局理解对于多模态 文档理解十分关键

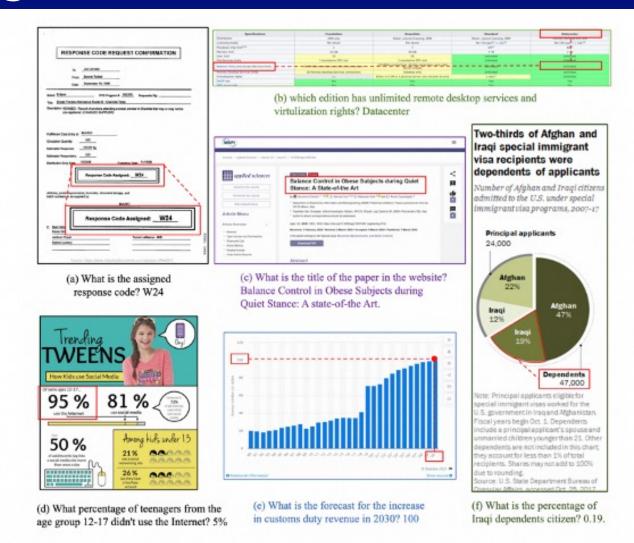


Figure 2: Illustrations of the importance of structure information in Visual Document Understanding on documents (a), tables (b), webpages (c), infographics (d), and charts (e-f).





DocOwl 1.5: 通过统一的结构学习,显著提升多模态文档理解效果。

#### 模型结构: 视觉语言对齐结构 HReducer

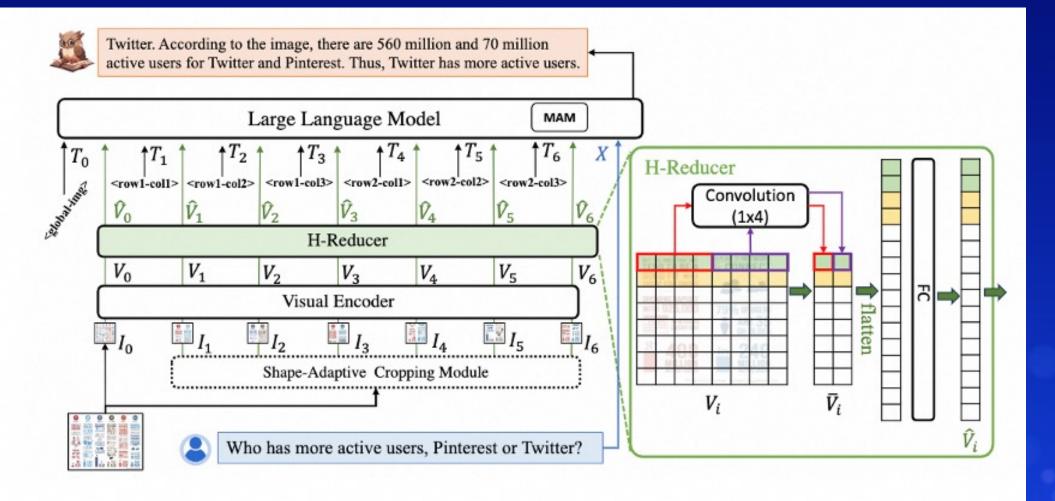
- 通过卷积更好的保持视觉特征的空间位置关系;
- 降低高分辨率视觉特征的数量;

#### 模型训练: Unified Structure Learning

- 结构感知的文字解析
- 多粒度文字识别和定位;

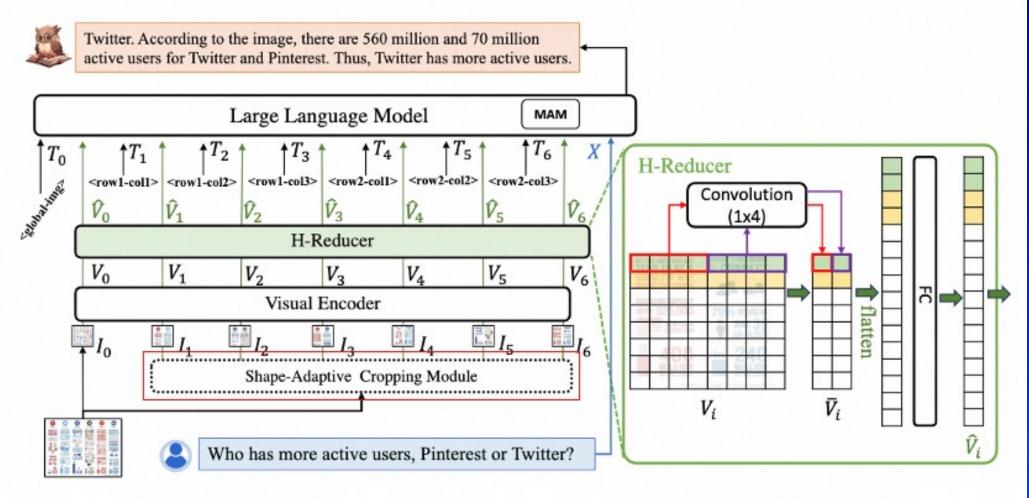








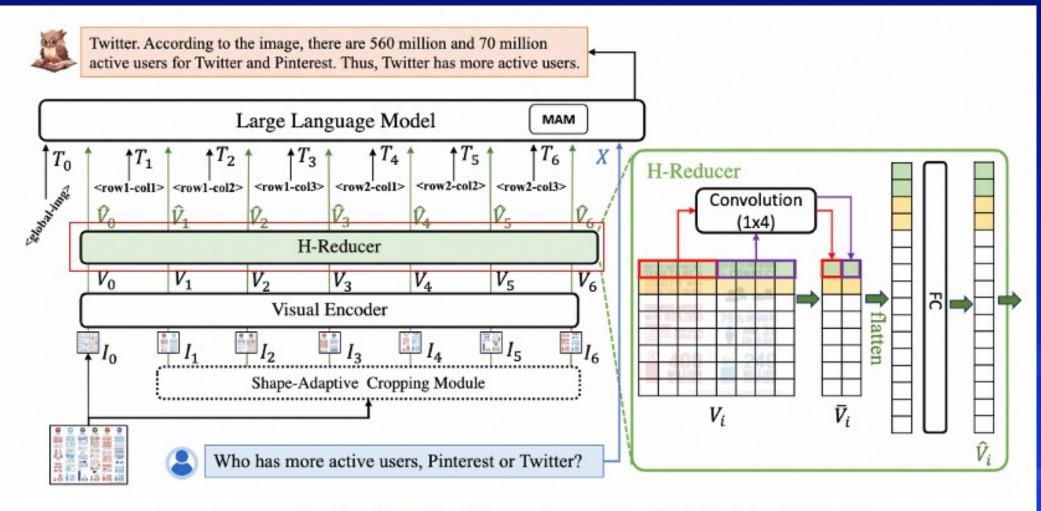




延续DocOwl, 采用Shape-Adaptive Cropping Module处理高分辨率图片



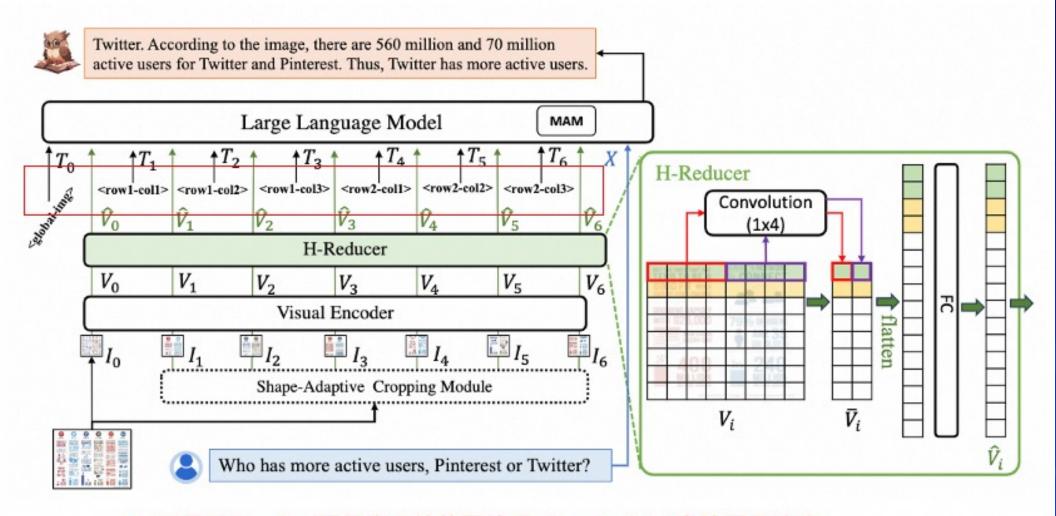




- 不同于DocOwl, 采用基于卷积的HReducer将视觉特征对齐到语言特征
- 考虑到文字大部分为横向排布,采用1x4的卷积





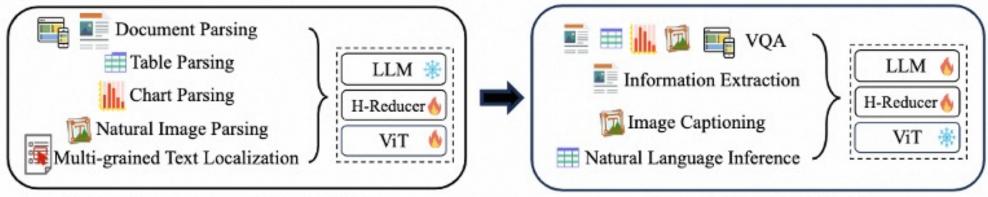


• 不同于DocOwl采用学习的位置编码, DocOwl 1.5直接采用特殊的文本token表示当前子图的位置





#### • 模型训练



Stage 1: Unified Structure Learning

Stage 2: Multi-task Tuning

Hu A, Xu H, et al. mPLUG-DocOwl 1.5; Unified Structure Learning for OCR-free Document Understanding [J]. arXiv preprint arXiv:2403.12895.





#### 模型训练

- Struct-aware Parsing
  - Doc/Webpage Parsing
  - **Table Parsing**
  - **Chart Parsing**
  - **Natural Image Parsing**
- **Multi-grained Text Localization** 
  - Text Recognition
  - **Text Grounding**

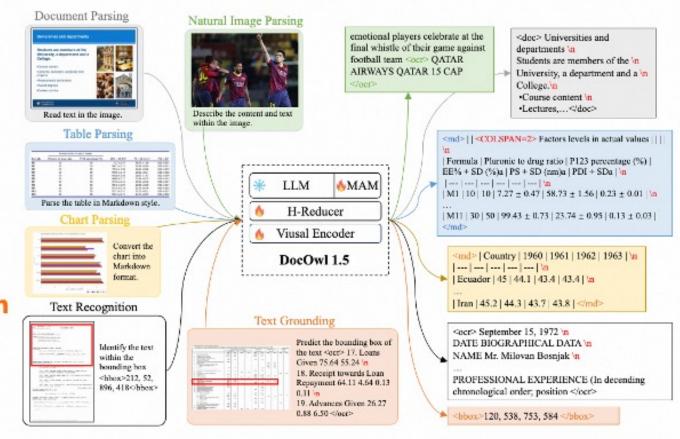


Figure 4: The illustration of Unified Structure Learning of DocOwl 1.5.





#### 模型训练

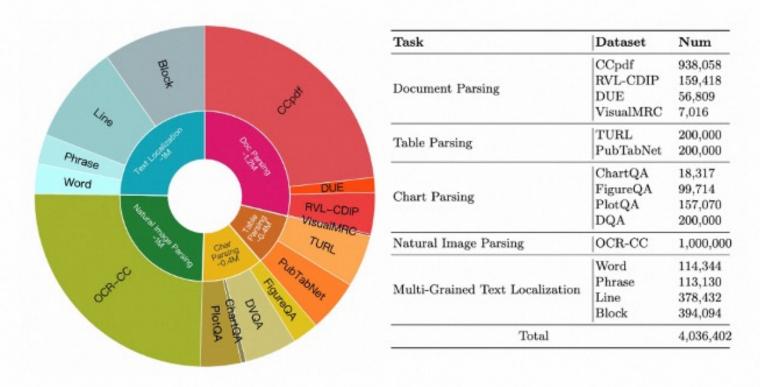


Figure 5: Detailed statistics of DocStruct4M.





Table 1: The detailed statistics of DocReason25K. The 'Avg Length' refers to the average token length of the answer.

	DocVQA	In fo VQA	WTQ	VisualMRC	ChartQA	TextVQA	ALL
Image	1,491	1,614	850	1,927	1,252	1,612	8,746
Sample	5,119	5,421	5,994	5,263	1,827	2,253	25,877
Avg Length	79.2	95.4	77.7	103.4	106.9	88.0	89.9

已有下游任务只提供简单的词组作为答案,并不能给出详细的解释

DocReason25K: 基于GPT3.5/GPT4V构建的多模态文档领域关于详细解释的微调数据集

DocOwl 1.5-Chat: 联合已有下游任务和DocReason25K进行第二阶段训练。





Table 2: Different settings of OCR-free Visual Document Understanding models. 'Open' refers to whether all OCR learning data is open-source.

Model	Init	Resolution				OCR Learning	
Model		Resolution	Text	Bbox	Size	Domain	Open
Donut [23]	-	2560x1920	<b>V</b>	×	13M	Synthetic, Doc	✓
Pix2Struct [24]	-	219 (shape variable)	1	×	80M	Web	×
QwenVL [3]	-	448x448	1	×	24.8M	Synthetic, Doc, Web	×
Monkey [26]	QwenVL [3]	896x896	×	×	-		-
UReader [57]	Owl [58]	224x224(x20 crops)	1	×	0.1M	Doc, Table, Chart, Web, Natural	1
DocPedia [13]	-	2560×2560	1	1	0.9M	Doc	×
CogAgent [16]	CogVLM [51]	1120×1120	1	✓	107M	Synthetic, Nature, Doc, Web	×
DocOwl 1.5	Owl2 [59]	448x448(x9 crops)	<b> </b> \	✓	4M	Doc, Table, Chart, Web, Natural	✓

#### 相比于当前SOTA文档理解大模型:

- DocOwl1.5同时进行了文字、结构以及位置的学习
- DocOwl1.5覆盖了更多的图片类型
- DocOwl1.5只利用开源数据,没有利用私有化数据,易复现





Table 3: Comparison with OCR-free methods on various types of text-rich image understanding tasks. The superscript '\*' refers to models separately fine-tuned on each downstream task, rather than generalists. The <u>underline</u> means the best performance among models with <10B parameters.

Model	Size	Doc VQA	Info VQA	Deep Form	KLC	WTQ	Tab Fact	Chart QA	Text VQA	Text Caps	Visual MRC
Dessurt*	<1B	63.2	2	_	-	2	_	-	-	_	-
Donut*	<1B	67.5	11.6	61.6	30.0	18.8	54.6	41.8	43.5	74.4	93.91
Pix2Struct*	<1B	72.1	38.2	-	-	-	-	56.0	-	88.0	-
Pix2Struct**	1.3B	76.6	40.0	-	-	-	-	58.6	-	95.5	-
DocPeida	7.0B	47.1	15.2	-		_	_	46.9	60.2	2	-
DocOwl	7.1B	62.2	38.2	42.6	30.3	26.9	60.2	57.4	52.6	111.9	188.8
QwenVL	9.6B	65.1	35.4	-	-	-	-	65.7	63.8	-	-
UReader	7.1B	65.4	42.2	49.5	32.8	29.4	67.6	59.3	57.6	118.4	221.7
Monkey	9.8B	66.5	36.1	40.6	32.8	25.3	-	-	67.6	93.2	-
CogAgent	17.3B	81.6	44.5	-	-	-	-	68.4	76.1	-	-
DocOwl-1.5	8.1B	81.6	50.4	68.8	37.9	39.8	80.4	70.5	68.8	132.0	239.5
DocOwl-1.5-Chat	8.1B	82.2	50.7	68.8	38.7	40.6	80.2	70.2	68.6	131.6	246.4

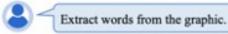




#### 样例: 文档解析

Tinkling Cisticola	Cistople rufflatus	
Seen near Kayova.		
Chirping Cisticola	Osticola pipiens	
Seen at Kayova.		
Piping Cisticola	Cisticale fulvicapille	
Seen near Keyova.		
Zitting Cisticola	distinula juncidis	
Seen at Etosha & Windhoek.		
Desert Cistiopia	Osticole anidvius	
Seen at Etosha.		
Reed Warblers and Allies (Acr	rocephalidae)	
Icterine Warbler	Hippolais icterina	
Seen at Dosha & Windhoek.		
Sedge Warbler	Acrocrpholus schoenobannus	
Seen at Kiryova.		
African Reed Warbler	Acrocepholus borticatus	
Seen at Walvis Bay & Windhor	ek.	
Lesser Swamp Warbler	Acroorpholus gracilirostris	
Seen at Kayova & Windhoek.		
Swallows (Hirundinidae)		
Plain Martin	Asporta paludicola	
Seen at Kayova.		
Bank Swallow	Alporia riporia	
Seen at Caprivi.		





<doc> Tropical Birding Trip Report NAMIBIA NOV DEC 2021

Cisticola rufilatus Tinkling Cisticola

Seen near Kayova.

Chirping Cisticola Cisticola pipiens

Seen at Kayova.

Piping Cisticola Cisticola fulvicapilla

Seen near Kayova.

Zitting Cisticola Cisticola juncidis

Seen at Etosha & Windhoek.

Desert Cisticola Cistcola aridulus

Seen at Etosha.

Reed Warblers and Allies (Acrocephalidae)

Icterine Warbler Hippolais icterina

Seen at Etosha & Windhoek.

Sedge Warbler Acrocephalus schoenobaenus

Seen at Kayova.

African Reed Warbler Acrocephalus baeticus

Seen at Walvis Bay & Windhoek.

Lesser Swamp Warbler Acrocephalus gracilirostris

Seen at Kayova & Windhoek.

Swallows (Hirundinidae)

Plain Martin Riparia paludicola

Seen at Kayova.

Bank Swallow Riparia riparia

Seen at Caprivi.

www.tropicalbirding.com +1-409-515-9110 info@tropicalbirding.com </doc>





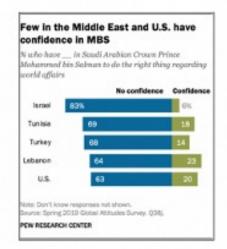


	N (%)*		Crude results	(n = 61,129)	Adjusted results** (n = 47,817		
LAN exposure	Cases	Referents	Odds ratios	95% CI	Odds ratios	95% CI	
Law	27,121 (63)	10,970 (60)	Re	į.	Re	£	
Medium	5,974 (14)	2,623 (14)	1.13	(1.07-1.19)	1.06	(0.97-1.16)	
High	9,659 (23)	4,782 (26)	1.22	(1.18-1.28)	1.12	(1.04-1.20)*	



Convert the figure into Markdown language.

<md>     COLSPAN=2&gt; N (%)**   COLSPAN=2&gt; Crude results (n = 61,129)   COLSPAN=2&gt; Adjusted results** (n = 47,817)   LAN exposure   Cases   Referents   Odds ratios   95% CI   Odds ratios   95% CI  </md>
Low   27,121 (63)   10,970 (60)     Ref.     Ref.     Medium   5,974 (14)   2,623 (14)   1.13   (1.07-1.19)   1.06   (0.97-1.16)
High   9,659 (23)   4,782 (26)   1.22   (1.18-1.28)   1.12   (1.04-1.20)†





Render the figure in Markdown format.



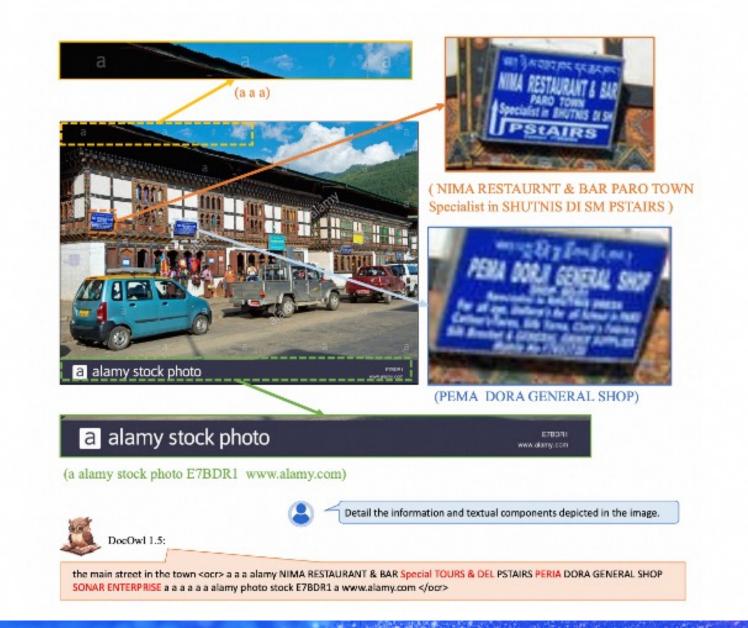
DocOwl 1.5:

```
<md>| Entity | No confidence | Confidence |
|-- |--- |
|U.S | 63 | 20 |
| Lebanon | 64 | 23 |
|Turkey | 68 | 14 |
| Tunisia | 69 | 18 |
| Israel | 83 | 6 | </md>
```





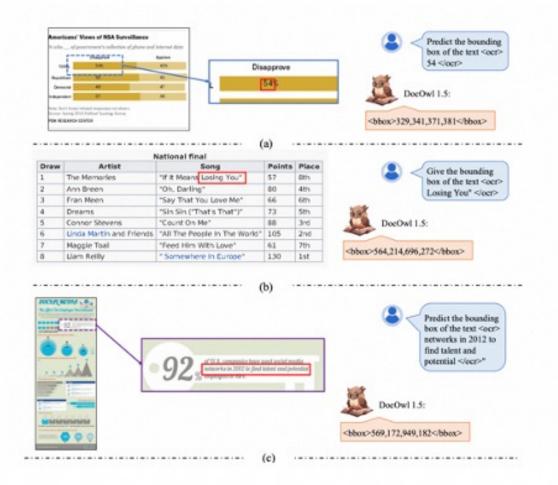
样例: 自然图解析







#### 样例: 多粒度文字识别







Predict the bounding box of the text

<oer> particular to:

Nicholas Capaldi

· observe the Accounts Direction issued by the Accounting Officer Secretary of State for Culture, Media and

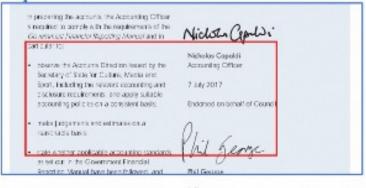
Sport, including the relevant accounting and 7 July 2017 disclosure requirements, and apply suitable

accounting policies on a consistent basis; Endorsed on behalf of Council:

- · make judgements and estimates on a
- reasonable basis:
- · state whether applicable accounting standards </or>

<br/>hbox>71,437,761,658</bbox>

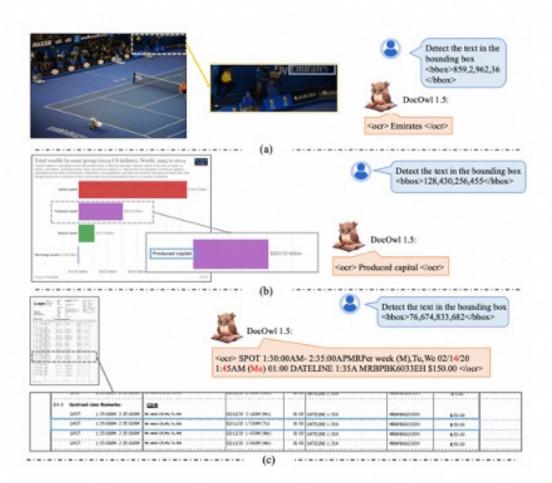
DocOwl 1.5:







#### 样例: 多粒度文字定位





#### Ludwig Wittgenstein [odt]

Born 1889, died 1951. An Austrian born Cambridge scholar, Wittgenstein was involved in his early years with the Logical Positivists of the Vienna Circle Wittgenstein left Philosophy for a while but was persuaded to return in the 1920s. Wittgenstein's return prompted a different way of looking at religious language, he no longer sought to prove "whether" language refers, but looked at the way in which language refers to things.

He decided that the best way to determine a word's meaning was to look at how it is used, and not to come up with a theoretical definition. Wittgenstein said this was about: "Getting back to the rough ground".



DocOwl 1.5

Detect the text in the bounding box <bbox>14,532,981,850</bbox>

<ocr> Ludwig Wittgenstein [edit] in

Born 1889, died 1951. An Austrian born Cambridge scholar, Wittgenstein was involved in his early years with the Logical Positivists of the Vienna Circle. in

Wittgenstein left Philosophy for a while but was persuaded to return in the 1920s. Wittgenstein's return prompted a different way of looking at religious

language, he no longer sought to prove "whether" language refers, but looked at the way in which language refers to things. In He decided that the best way to determine a word's meaning was to look at how it is used, and not to come up with a theoretical definition. Wittgenstein

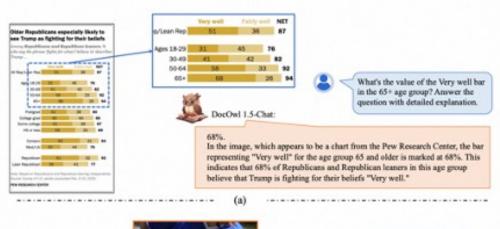
said this was about: "Getting back to the rough ground". </er>







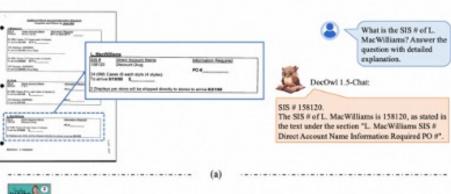
#### 样例: 详细解释的问答

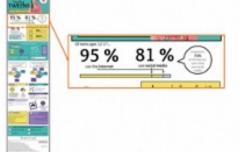




DocOwl 1.5-Chat:

The blue shirt on the left has the word "Fly Emirates" written across the chest. The logo is in white lettering against a blue background, which is the color scheme typically used for the airline's branding.





What percentage of teenagen from the age group 12-17 didn't use the Internet? Answer the question with detailed explanation.

DecOwl 1.5-Chat:

According to the text, 95% of teenagers ages 12-17 use the Internet. Therefore, the percentage of teenagers from the age group 12-17 who didn't use the Internet would be 100% - 95% = 5%.

Date	Time	Opponent*	Bank*	Site	TV	Result	Attendance
September 1	2:30 PM	#9 (FCS) Northern lowar	#13	Camp Randall Stadium + Madison, WI	BTN	W 26-21	79,568
September 8	3:00 PM	at Oregon State*	#13	Recer Stadium - Corvallis, CR	FX	4.7-10	42,189
September 15	2:00 PM	Utah State*	#22	Camp Randall Stadium - Madison, WI	9TN/21	W16-14	79,332
September 22	11:00 AM	L/TEP*	#24	Camp Randall Stadium + Madison, WI	ESPN2	W 17-26	79,806
September 29	7:00 PM	at #20 Nebraska	#23	Nemorial Stadium + Lincoln, NE	ABC	£ 27-30	85.962
October 6	2:30 PH	Hinois		Comp Randall Stadium = Madison, WI	ABORSPN2	W 33-14	80,096
October 13	11:00 AM	at Purbue		Ross-Ade Stadium • West Lafayette, IN	BTN	W 38-14	46,017
October 29	11:00 AM	Hinnesota .		Camp Randell Stadium - Madison, WI (Paul Buryan's Ace)	ESPINI	W 18-13	80,587
October 27	2:30 PM	Michigan States	#25	Camp Randall Stadium • Medison, WI	ABC/ESPIG2	4.13-26-07	80,538
November 10	11:00 AM	at Indiana		Memorial Stadium + Blackington, IN	ESPN2	W 62-04	41,240
November 17	2.30 PM	Once State		Camp Randell Stadium + Madison, WI	ABC/ESPN2	L 14-21 OT	80,112
November 24	2:30 PM	at Penn State		Beaver Stadium + University Park, PA	ESPN2	6.21-24 OT	93,345
December 1	7:00 PM	vs. #14 Nebraska		Lucas Oil Stadium + Indianapolis, IN (Sig Ten Championship Game)	FOX.	W 70-31	41,290
anuary 1, 2013	4.30 PM	vs. #8 Stanford	#23	Rose Bowl + Pasadona, CA (Nase Bowl)	ESPN	£ 14-20	93,259



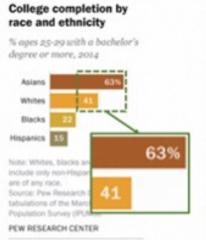
what is the last date and time on the table? Answer the question with detailed explanation.



The last date and time on the chart is January 1, 2013 at 4:10 PM. The chart lists all the games played by a certain team, along with the date, time, opponent, rank, site, and result. The last game listed on the chart is against Stanford, which took place on January 1, 2013 at 4:10 PM. Therefore, the last date and time on the chart is January 1, 2013 at 4:10 PM.

#### 图表 (Chart) 理解的主要挑战:

- 问答经常涉及多步数学推理
- 现有最优Chart多模态模型参数太大,推理慢 (ChartLLama-13B, ChartAssistant-13B)



Question: What is the difference between # Calculate the absolute Asians and Whites degree distribution?

GT Answer: 22 ChartLlama: 21

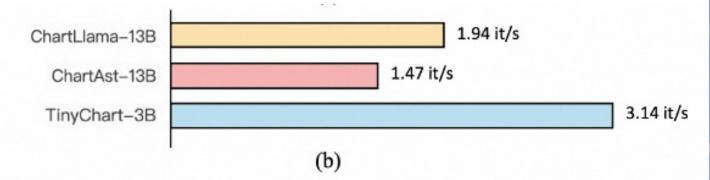
TinyChart Direct: 22

#### TinyChart PoT: 22

# Get the values of all 'Values' in the chart, set to Y Y=[15, 22, 41, 63] # Get the difference between the value of Asians and Whites, set to Subtract Subtract=np.subtract(Y[3], Y[2])

value of Subtract, set to Answer

Answer=np.abs(Subtract)





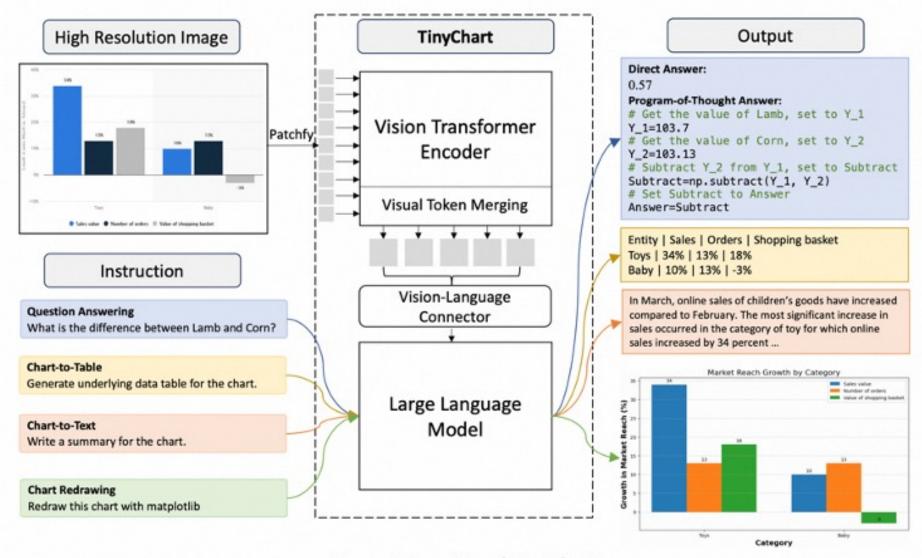


Figure 2: Overview of TinyChart.

Zhang L, Hu A, Xu H, et al. TinyChart: Efficient Chart Understanding with Visual Token Merging and Program-of-Thoughts Learning[J]. arXiv preprint arXiv:2404.16635, 2024.



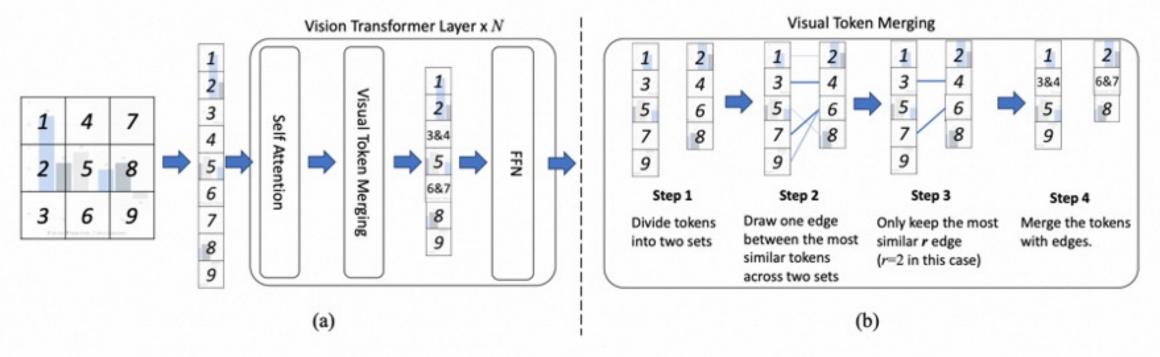


Figure 3: (a) Vision transformer layer with Visual Token Merging. (b) Process of the Visual Token Merging.

Daniel Bolya, Cheng-Yang Fu, Xiaoliang Dai, Peizhao Zhang, Christoph Feichtenhofer, and Judy Hoffman. 2023. Token Merging: Your VIT But Faster. In The Eleventh International Conference on Learning Representations.



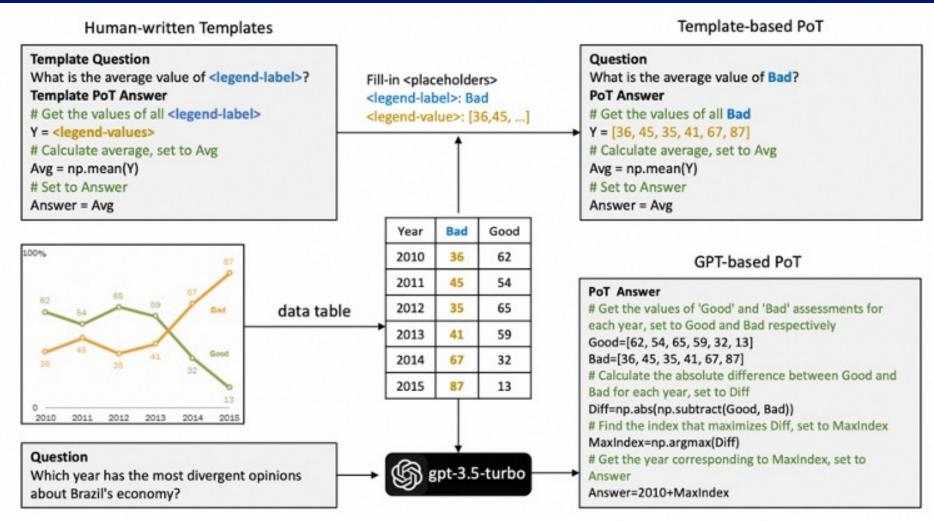


Figure 4: The demonstration of constructing Template-based PoT (upper half) and GPT-based PoT (lower half) in the ChartQA-PoT dataset.

Zhang L, Hu A, Xu H, et al. TinyChart: Efficient Chart Understanding with Visual Token Merging and Program-of-Thoughts Learning[J]. arXiv preprint arXiv:2404.16635, 2024.



Table 1: Datasets used for training TinyChart. The benchmark datasets consist of basic chart understanding evaluations including QA, summary, and chart-to-table generation. Note that in ablation studies, we only use the benchmark datasets for training due to limited computational resources.

Dataset	Benchmark	Samples	
Chart question answer			
ChartQA [32]	✓	28,299	
ChartQA-PoT	✓	140,584	
PlotQA [36]		157,070	
DVQA [19]		200,000	
OpenCQA [20]		5,407	
Chart-to-text generation			
Pew [21]	✓	7,892	
Statista [21]	✓	29,589	
OpenCQA [20]		5,407	
Vistext [45]		11,171	
ChartSumm [42]		75,255	
Chart2Text-8k [37]		7,862	
Chart-to-table generation			
ChartQA [32]	1	19,373	
PlotQA [36]		190,720	
Chart2Text-8k		8,305	
DVQA [19]		300,000	
Statista [21]		29,589	
Chart instruction following			
ChartLlama [10]		148,398	
Total		1,364,921	

Zhang L, Hu A, Xu H, et al. <u>TinyChart</u>: Efficient Chart Understanding with Visual Token Merging and Program-of-Thoughts Learning[J]. <u>arXiv</u> preprint arXiv:2404.16635, 2024.

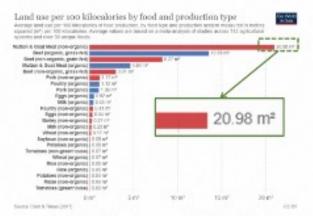




Table 2: Main results on chart-related benchmarks. The inference throughput is evaluated on the ChartQA test with a batch size of 1 on V100 32GB.

Model	#Parameters	Resolution	Inference Throughput	ChartQA			Chart-to-Text	Chart-to-Table	OpenCQA
Wodel				Aug.	Hum.	Avg.	BLEU4	$RMS_{F1}$	BLEU4
Close source mode	els								
GPT-4V [39]	-	-	-	-	-	78.50	-	-	-
Gemini-Ultra [46]	-	-	-	-	-	80.80	-	-	-
Qwen-VL-Max [1]	-	-	-	-	-	79.80	-	-	-
Deplot+Codex [26]	1.3B+175B	-	-	91.00	67.60	79.30	-	87.22	-
Open source mode	els								
Llava1.5 [29]	13B	336×336	1.94 it/s	72.96	37.68	55.32	7.16	48.95	-
Qwen-VL [1]	9.6B	448×448	1.65 it/s	78.90	44.30	61.60	-	-	-
UReader [52]	7B	224×224(×20)	1.67 it/s	79.42	39.12	59.30	-	-	-
DocOwl1.5 [15]	8B	448×448(×9)	1.56 it/s	91.38	49.62	70.50	-	-	-
ChartInstruct [34]	7B	-	-	87.76	45.52	66.64	13.83	-	15.59
ChartLlama [10]	13B	336×336	1.94 it/s	90.36	48.96	69.66	14.23	90.00	-
ChartAst [35]	13B	448×448	1.47 it/s	93.90	65.90	79.90	15.50	91.60	15.50
TinyChart@512	3B	512×512	3.65 it/s	93.60	72.16	82.88	17.93	92.93	19.62
TinyChart@768	3B	768×768	3.14 it/s	93.86	73.34	83.60	17.18	93.78	20.39





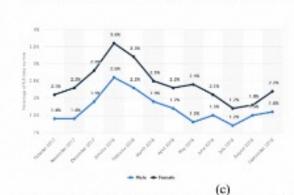
Question: What is land use per 100 kilocalories by

Mutton & Goat Meat production?

TinyChart Direct: 20.98

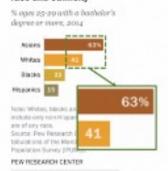
(a)

#### Input: Generate underlying data table for the chart. TinyChart:



Characteristic Male | Female September 2018 | 1.6% | 2.2% August 2018 | 1.5% | 1.8% July 2018 | 1.2% | 1.7% June 2018 | 1.5% | 2.1% May 2018 | 1.3% | 2.4% April 2018 | 1.7% | 2.3% March 2018 | 1.9% | 2.5% February 2018 | 2.3% | 3.2% January 2018 | 2.6% | 3.6% December 2017 | 1.9% | 2.8% November 2017 | 1.4% | 2.3% October 2017 | 1.4% | 2.1%

#### College completion by race and ethnicity



#### TinyChart PoT: 22

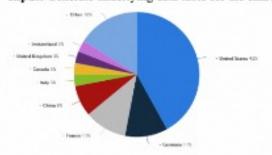
# Get the values of all 'Values' in the chart, set to Y=[15, 22, 41, 63] # Get the difference between the value of Asians and Whites, set to Subtract Subtract=np.subtract(Y[3], Y[2]) # Calculate the absolute value of Subtract, set to Answer Answer=np.abs(Subtract)

Question: What is the difference between Asians and Whites degree distribution?

TinyChart Direct: 22

(b)

#### Input: Generate underlying data table for the chart. TinyChart:



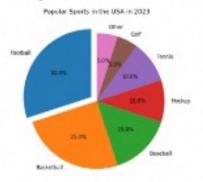
Characteristic | Retail sales share United States | 42% Germany | 11% France | 11% China | 8% Italy | 3% Canada | 3% United Kingdom | 3% Switzerland | 3% Other | 16%

(d)





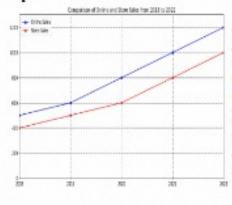




TinyChart: The chart presents the popularity of various sports in the United States in 2023. Football is the most popular sport with a 30% popularity rating, followed by Basketball at 25%. Baseball and Hockey have a 15% and 10% popularity respectively. Meanwhile, Golf and Tennis have a 5% and 10% popularity rating respectively. The least popular sport is "Other" with a 5% rating.

(e)

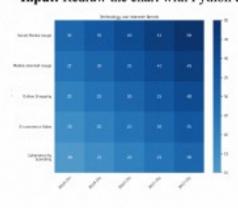
Input: Create a brief summarization or extract key insights based on the chart image.

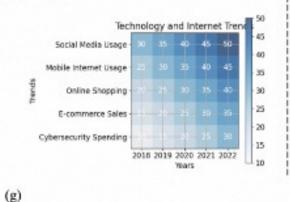


TinyChart: The chart illustrates the sales trends for online and store sales from 2018 to 2022. It shows that online sales steadily increased from 500 in 2018 to 1200 in 2022, while store sales also experienced an increase from 400 in 2018 to 1000 in 2022. The data indicates that while online sales growth has been consistently positive, store sales growth has been relatively slower. This could suggest a shift in consumer preference or a need for a more extensive retail network.

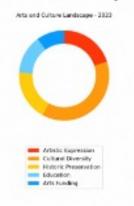
(f)

#### Input: Redraw the chart with Python code. TinyChart:

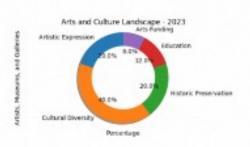




Input: Redraw the chart with Python code.



TinyChart:

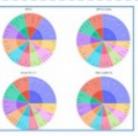


(h)



#### 论文图表理解的主要挑战:

- 需要专业领域知识的辅助
- 需要具备多图表关联分析能力



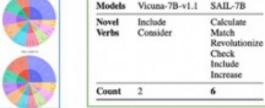


Figure 4: Top-10 verbs and associated nouns generated by selective large language models

Table 1: Top-10 verbs generated by LLaMA-based models that do not overlap with GPT-4 and ChatGPT.

[Context]: Search-augmented instruction learning can help the model better utilize the valuable information ... On the other hand, applying search augmentation to SAIL-7B significantly improves model performance on both experiments (84% to 90% and 98% to



Recommend some key pints to discuss next about Figure 4 and Table 1

#### How about:

- 1. The word preference of different models
- 2. SAIL-7B generates more verbs.





- 1. Compare the word preference of different models on unseen instructions.
- 2. search augmentation helps generates more verbs not overlap with GPT.
- 3. The influence of grounding search results.

We first show the word preference of different models on the 80 unseen instructions. The results are shown in Figure 4. We compare the distributions of top-10 verbs generated by GPT4. GPT-3.5-Turbo (ChatGPT), Vicuna-7B-v1.1, and SAIL-7B models. With search augmentation, SAIL-7B generates significantly more verbs that do not overlap with GPT's generations, as shown in Table 1 . Only two top-10 verbs generated by Vicuna are not covered by GPT-4 and ChatGPT, while six out of ten verbs generated by SAIL-7b are not highfrequency verbs by the GPT models. This indicates that the grounding search results can shift the generation preference of the language models.



A Hu, Y Shi, H Xu,et al. mplug-paperowl: Scientific diagram analysis with the multimodal large language model[J] arXiv preprint arXiv:2311.18248 62023





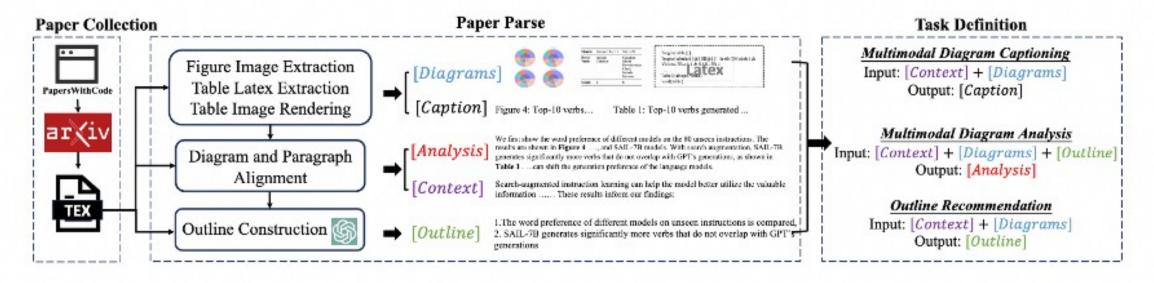


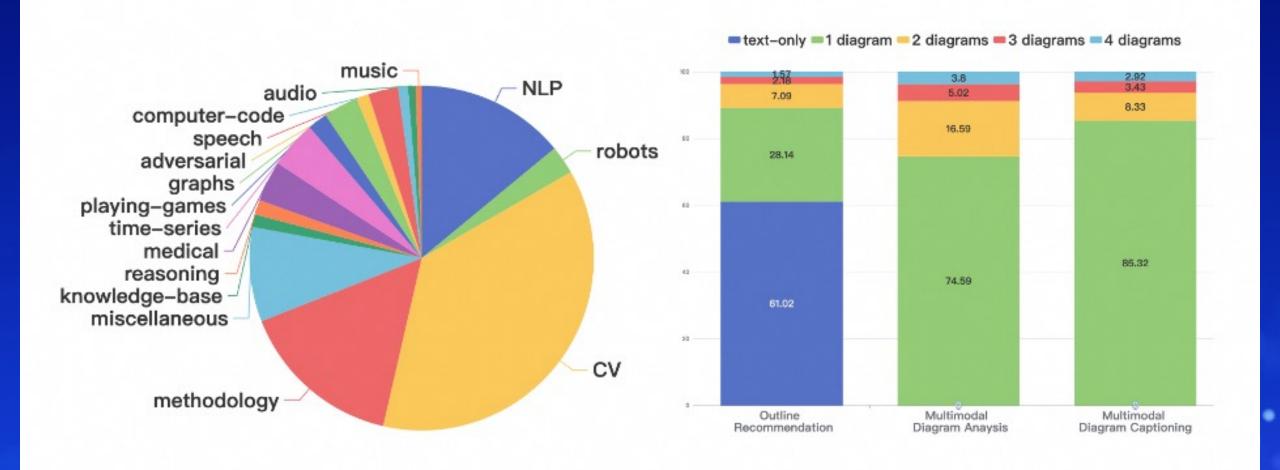
Figure 2. The pipeline of M-Paper construction and definition of our three tasks.

- · 提供上下文(context)作为专业知识来源,
- \*基于GPT3.5,构建"要点"(outline)作为图表分析的控制信号

M-Paper: 48k papers, 447k diagrams, 702k training samples

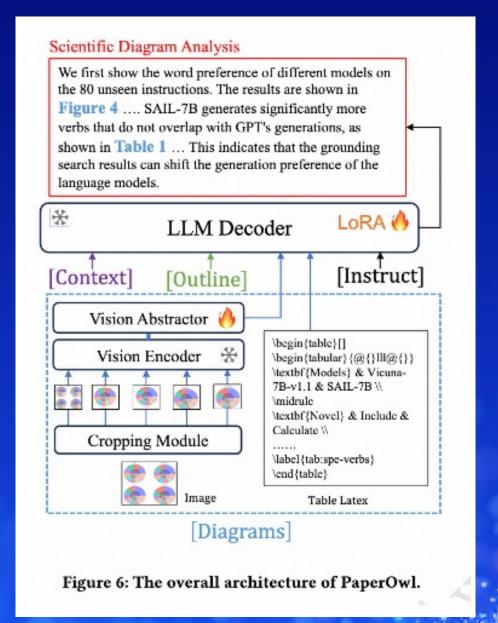






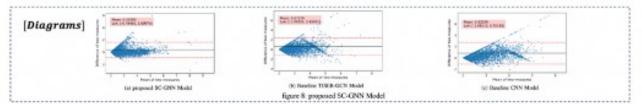












[Context]: For the proposed SC-GNN model (Fig. 8), the Bland-Altman plot shows a mean difference of 0.40 and limits of agreement of [-0.79, 1.60].....This implies that the baseline models have difficulty accurately predicting ground motion intensities for shallow earthquakes.

[Outline]: The TISER-GCN model has less accurate predictions and higher variability than the SC-GNN model.

[Insturction]: Drawing from the preceding multimodal content and adhering to the outlined, write a thorough and coherent paragraph analysis.

GT: In contrast, the baseline TISER-GCN model (Fig. 8) presents a mean difference of 0.67 and limits of agreement of [-1.09, 2.43]. The increased mean difference compared to the SC-GNN model suggests that the TISER-GCN model predictions are less accurate. Additionally, the wider limits of agreement indicate a higher level of variability in the model's performance

: The TISER-GCN model (Fig. 8) shows a mean difference of 0.60 and limits of agreement of [-1.10, 2.10]. This indicates that the TISER-GCN model predictions are, on average, less accurate than the SC-GNN model predictions. The wide range of the limits of agreement suggests that the model's performance is inconsistent across the range of earthquake intensities.



[Context]: Unidiffuser [4] models the joint generation across multiple modalities, such as text-to-image or image-to-text generation. In Figure 10, we show additional results for the joint generation task implemented by Unidiffuser. As can be seen, our crafted adversarial examples elicit the targeted response in various generation paradigms.... In this section, we present additional results, visualization, and analyses to supplement the findings in our main paper.

[Insturction]: Describe these images concisely.

GT: Additional results of VQA task implemented by MiniGPT-4.



PaperOwl . : Additional results for the question-answering task.

[Diagrams] Method	Backbone	mJoU	VC8	VC16
Mask2Forme	er Swin-L	0.5709	0.8876	0.8596
Mask2Forme	er BEiT-L	0.5854	0.8964	0.8611
Mask2Forme	er ViT-Adapter-L	0.6140	0.9007	0.8638

[Context]: With the proposed method, Our solution not only performs well in mIoU, but also outperforms others significantly in video frame continuity and consistency on the PVUW2023 challenge. In this paper, ...... Finally, we obtain the 2nd place in the final test set, as

[Instarction]: 'Give a short caption that accurately conveys the main idea of this figure.

GT: Experiments of different backbones on PVUW2023 challenge test part 1

The comparison of different backbones on the PVUW2023 validation set.

[Diagrams]	\begin\tobie
	contering
	beginftabularitics:
	Isografe
	lif Model & FID \$\ downarrow\$ & Char-F1\$\ \arphi\ \arphi\ranger\  & F-ACC\$\ \arphi\
	ACM-VSG & 15.36 & 45.71 & 22.62 ()
	quad - Attention & 16.88 & 44.27 & 20.25
	loottomule
	(endjtobular)
	\captionG
	end(table)
	Table 4
	quad - Guidance & 15.95 & 44.56 & 22.13 \\   quad - Attention & 16.88 & 44.27 & 20.25 \\   bottomvule   end tabular    (caption )   end table

[Context]: Table 2 shows the results for story continuation task. As we can see, our model can achieve the best results on both datasets, 15.36 and 18.41 FID for PororoSV and FlintstonesSV, respectively. And our model can greatly preserve characters to improve the consistency of the story....... includes a recurrent text encoder, an image generation module, and two discriminators - image and story discriminator. [Insturction]: I need a succinct caption for this diagram.

GT: Ablation study results for story continuation task on PococoSV.

.: Ablation study on PororoSV dataset for story continuation task.



# PART 03 mPLUG-DocOwl开源实战



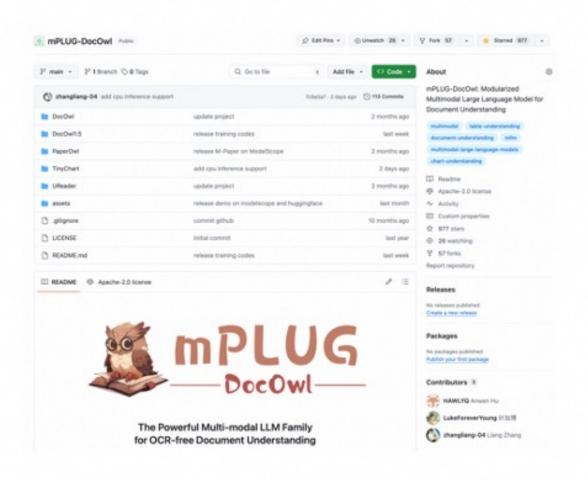
### **▶** mPLUG-DocOwl开源



#### 数据和模型在ModelScope和HuggingFace上均可下载



https://github.com/X-PLUG/mPLUG-DocOwl



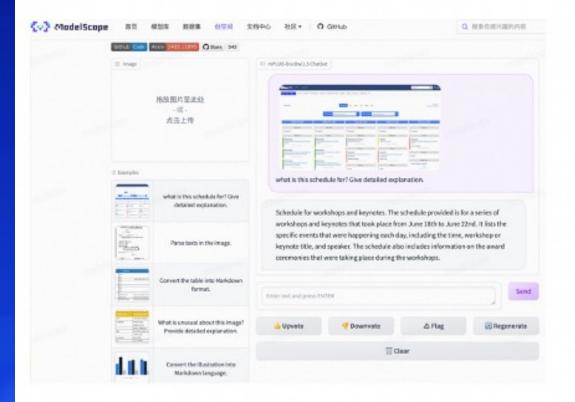


#### mPLUG-DocOwl Demo



https://huggingface.co/spaces/mPLUG/DocOwl

https://modelscope.cn/studios/iic/mPLUG-DocOwl



#### https://huggingface.co/spaces/mPLUG/TinyChart-3B

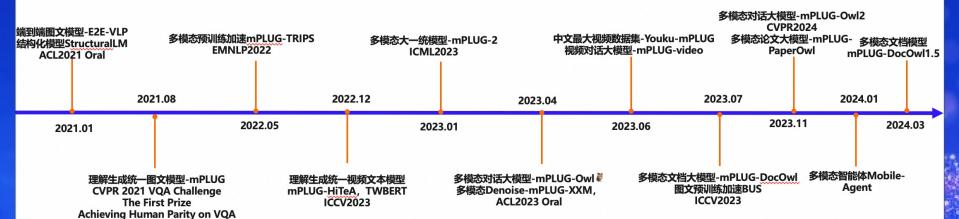
TinyChart: Efficient Chart Understanding with Visual Token Merging and Program-of-Thoughts Learning & Kodell # Paged 1. Currently, this demo only supports English chart understanding and may not work well with other languages. 2. To use Program of Thoughts answer, please append "Answer with detailed steps," to your question. TiryChart-59-768 Cl. Image £ 将图像地段划点处 哑. 点击上传 What is the highest number of companies in the domestic market? Answer with detailed steps. 5 9 G : Dangles # Det the values of 'Domestic market' for each year, set to Y Y=(23, 25, 24, 27, 30, 24, 30, 25, 27) What is the highest number of companies in the # Get the maximum value in Y, set to Answer domestic market? Answer with detailed steps. Angwerene mostYl Execute result: 30.0 What is the difference between Asians and Whites decree-distribution? Answer with detailed steps. Enter text and press ENTER

### **▶ 通义mPLUG**多模态体系

**TOIS 2022** 



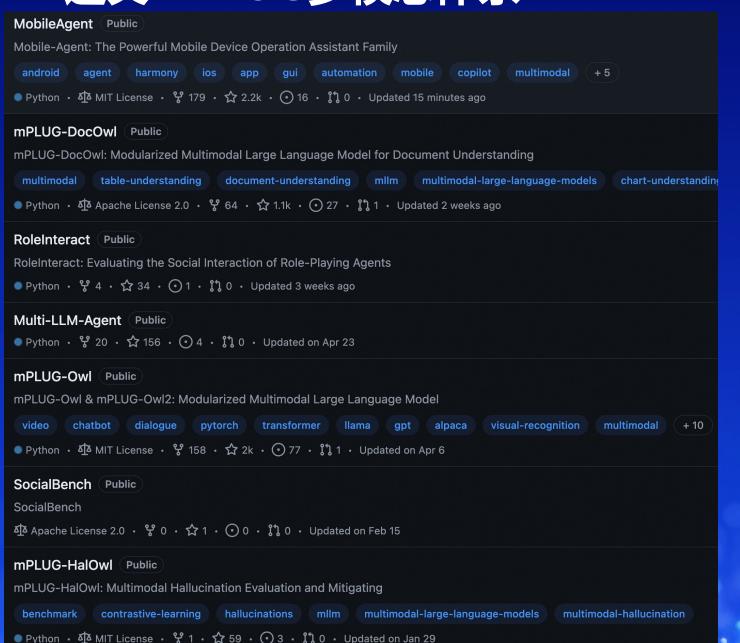






### **▶ 通义mPLUG多模态体系**





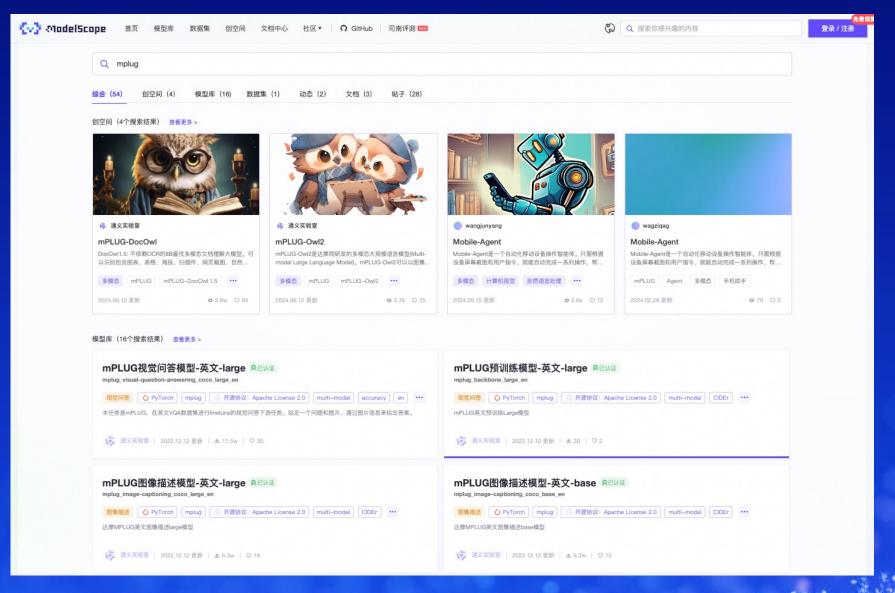


https://github.com/orgs/X-PLUG/repositories



### **▶ 通义mPLUG多模态体系**







https://modelscope.cn/search?search=mplug



# PART 04 总结与展望



### ▶ 总结与展望



- 多模态文档大模型展示了Promising效果,但仍然存在通用性、鲁棒性、效率等问题,仍然还有 很多提升的空间;
- 针对高分辨率, 多页, 探索更高效的视觉编码, 图文融合结构;
- 增加更多Domain数据,探索通用的、鲁棒的多模态文档大模型;
- 大小模型协同,能够结合OCR、Chart等专业小模型;



